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Electronically Filed 2/26/2021 4:14 PM Steven D. Grierson CLERK OF THE COURT

EIGHTH JUDICIAL DISTRICT COURT CLARK COUNTY, NEVADA

JOHN VALENCIA, on behalf of himself and all others similarly situated,

Plaintiff,

VS.

P & M HOLDINGS, LLC d/b/a and a/k/a GOLDEN STEER STEAKHOUSE; DOES 1 through 50; inclusive,

Defendant(s).

Case No.: A-21-830175-C Dept. No.: 25 HEARING REQUESTED

JOINT MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT

Hearing Date: Hearing Time:

JOINT MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT

Plaintiff JOHN VALENCIA ("Plaintiff"), on behalf of himself and all others similarly situated, by and through his attorneys of record, and Defendant P & M Holdings, LLC d/b/a and a/k/a Golden Steer Steakhouse ("Defendant") (collectively Plaintiff and Defendant may be referred to throughout this Motion as the "Parties") hereby submit this Joint Motion for Preliminary Approval of Class Action Settlement.

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1 Pursuant to Rule 23(f) of the Nevada Rules of Civil Procedure ("NRCP"), the Parties 2 ask the Court to give preliminary approval of the "Joint Stipulation of Settlement and 3 Release (the "Settlement"), a copy of which is submitted for this Court's review concurrently herewith. 4 Dated: February 26, 2021 5 6 **GABROY LAW OFFICES** JACKSON LEWIS, P.C. 7 /s/ Christian Gabrov /s/ Daniel Aquino Christian Gabroy, Esq. Daniel I. Aquino 8 Kaine Messer, Esq. 300 S. Fourth Street 170 S. Green Valley Parkway Suite 900 9 Suite 280 Las Vegas, NV 89101 Henderson, NV 89012 10 Counsel for Defendant Mark R. Thierman, Esq. 11 Joshua D. Buck, Esq. Leah L. Jones, Esq. 12 THIERMAN BUCK LLP 7287 Lakeside Drive 13 Reno, NV 89511 14 Counsel for Plaintiff 15 16 MEMORANDUM OF POINTS AND AUTHORITIES 17 PROCEDURAL HISTORY AND FACTUAL BACKGROUND. 18 On or about January 7, 2020, Plaintiff sent an NRS 608.140 demand to 19 Defendant with enclosed confidential draft complaint alleging various causes of action 20 for unpaid wages on behalf of himself and all similarly situated individuals under the Nevada Revised Statutes. Specifically, Plaintiff alleges that Defendant failed to: (1) pay 21 22 all overtime in violation of NRS 608.140 and 608.018 and (2) timely pay all wages due and owing in violation of NRS 608.140 and 608.020-050 23

Plaintiff's legal claims stem from his allegation that Defendant maintained an unlawful practice of not paying Plaintiff and all other similarly situated employees' daily overtime to nonexempt hourly employees who earned less than one and one-half times the applicable minimum wage. Defendant disputes Plaintiff's claims and disputes the damages that may be awarded for a violation of the statutes at issue in this matter.

Following the dispatching of the January 7, 2020 NRS 608.140 demand but prior Page 2

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to the draft confidential complaint being filed, the Parties entered into a tolling agreement on January 24, 2020 to discuss potential early resolution.

To that end, the Parties engaged in informal discovery. Defendant's counsel provided Plaintiff's counsel with time and class member data. The Parties then engaged in extensive discussions regarding their respective positions and the information and data provided and needed to properly evaluate the merits of the claims alleged.

Following such extensive discussions regarding the strengths of their respective positions, the Parties reached a proposed class action settlement through arms'-length direct negotiations. A copy of the Parties' Joint Stipulation of Settlement and Release is attached hereto as Exhibit 1, hereinafter "Settlement" or "Settlement Agreement."

11. SUMMARY OF THE SETTLEMENT'S KEY PROVISIONS.

The Parties' Settlement provides for significant monetary recovery on behalf of the Class, releases only those claims alleged or related to the complaint and sets forth the legally appropriate mechanism for providing notice to the Class of the terms and conditions of the Settlement.

Α. The Recovery.

The Settlement provides for a maximum settlement amount of \$35,000.00. See Settlement at ¶ 10(c). Out of that amount, the following approximate breakdown applies:

- \$16,333.33¹ in estimated settlement funds to the Class;
- Up to \$3,500 in settlement administration costs:
- \$2,500.00 in enhancement to named Plaintiff for his participation in the lawsuit;
- \$11,666.67 in attorney's fees (1/3 of the maximum settlement amount); and,

¹ The net settlement amount (defined herein) is subject to change and may increase or decrease depending on the actual settlement administration costs and costs awarded to Class Counsel.

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Up to \$1,000.00 in actual costs.

Id. at ¶ 10(c); ¶ 10(g); and ¶ 12. The employees' share of payroll taxes will not be deducted from the wage payments made to the Settlement Class. Id. at ¶ 9, 10(e). The remainder of the maximum settlement amount (the amount remaining after deduction of the settlement administration costs, Enhancement Awards, Attorneys' Fees and Costs) ("net settlement amount") will be paid to the Class Members who participate in the settlement (i.e., file a claim) based on the formula established in Paragraph 10(d) of the Settlement. *Id.* at ¶¶ 10(c)-(d).

The settlement represents a significant recovery on behalf of the Class given the risks associated with this case. See Exhibit I at ¶¶ 7, 10. Plaintiff alleged that he and fellow employees frequently worked over eight (8) hours in a workday and on many occasions, worked a shift until the late evening hours and then returned early the next morning to work a day shift. See Complaint at ¶ 16. Plaintiff alleged that this resulted in him having worked over eight hours in a 24-hour period that were not paid at one and one-half times the regular rate of pay for the overtime hours worked. Id. at ¶ 18. Defendant disputes Plaintiff's claims and disputes the damages that may be awarded for a violation of the statutes at issue in this matter. The Settlement represents a compromise, but is not intended to, nor should it, be construed as an admission of liability as to the merits of Plaintiff's claims or whether class certification is maintainable. See Settlement at ¶¶ 4, 25. Nevertheless, because the Settlement provides for a per-daily-overtime-hour payout, each participating class member will be entitled to a proportionate share of the Settlement based on the actual daily overtime hours worked. Id. at ¶ 10(d). In sum, the recovery of up to \$16,333.33 for all class members represents a significant recovery given the Parties' positions and uncertain legal requirements at issue and with regard to class certification. See Exhibit I at ¶ 7.

В. The Release.

The Settlement is not an admission by Defendant of liability on any of Plaintiff's claims. See Settlement at ¶ 25. The Settlement provides that, in consideration for the

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Settlement, Settlement Class Members² who do not file valid requests for exclusion will release all wage and hour claims relating or arising out of the facts of Plaintiff's complaint against Defendant pursuant to the terms of the Settlement.³ Id. at ¶¶ 16,18.

C. The Settlement Mechanism.

The Settlement is a claims-made settlement. The Parties have agreed to use a third-party Claims Administrator to administer the claims process. Id. at 10(d). The Settlement provides for the Claims Administrator to send out notice explaining the terms and conditions of the Settlement to approximately 60 class members. (A copy of the Notice to be approved by the Court and sent to class members is attached as Exhibit A to the Settlement Agreement.) Following mailing of the Notice, class members then have thirty (30) days to complete and submit a Claim Form or request to be excluded from the Settlement or object to the Settlement. Id. ¶ 14(a). (A copy of the Claim Form to be approved by the Court and sent to class members is attached as Exhibit B to the Settlement Agreement.) Unclaimed amounts will be returned to the Defendant.

The Settlement further provides that following the notice period the Court will hold a final "fairness" hearing to provide final review and approval of the Settlement. Id. at ¶ 19. (Attached as Exhibit D to the Settlement Agreement is a proposed Order granting preliminary approval of the Settlement. Attached as Exhibit E to the Settlement is a proposed Order granting final approval of the Settlement.) The Notice advises class members about the fairness hearing and their opportunity to attend the hearing and make their views known. Id. at ¶ 1(q). At the fairness hearing, the parties will address any issues raised by class members or the notice process itself, and the Court will have a second opportunity to review the settlement in full.

² "Settlement Class" means the following class or classes: all hourly paid non-exempt persons employed by Defendant in the state of Nevada who earned less than 1 1/2 times the applicable minimum wage and who worked over eight (8) hours in a workday and were not paid their total owed wages for the time period commencing on January 17, 2017, up to and including the date the Court grants preliminary approval of this Settlement.

³"Released Claims" has the meaning ascribed to it in ¶ 1(u) of the Settlement Agreement.

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111. THE LEGAL FRAMEWORK WEIGHS IN FAVOR **GRANTING** OF PRELIMINARY APPROVAL OF THE SETTLEMENT.

NRCP 23(f) provides that settlement of the claims of a certified class is subject to the court's approval.4 In general, settlement of class actions is favored as a matter of "strong judicial policy." Alberto v. GMRI, Inc., 252 F.R.D. 652, 658 (E.D. Cal. 2008) (citing Class Plaintiffs v. City of Seattle, 955 F.2d 1268, 1276 (9th Cir. 1992)).

Procedurally, the reviewing court's evaluation is conducted in two stages. Alberto, 252 F.R.D. at 658. At the first stage the court conditionally certifies a class for settlement purposes, preliminarily approves the settlement pending the "fairness hearing," and authorizes notice of the proposed class settlement to be given to the class. Id. (citations omitted); see also Manual for Complex Litigation (Fourth) § 21.632 (2004) ("Manual for Complex Litigation") (summarizing "preliminary fairness review"). Stage two is the fairness hearing, set for a time after notice has been provided to the class and class members have had an opportunity to submit claims or objections to the proposed settlement or to opt out of it, and the court reaches a final determination about whether the proposed settlement should be approved as a fair, adequate, and reasonable resolution of the dispute. Id. at 659 (citations omitted).

Because this is the first stage of the Court's evaluation of the Settlement, Plaintiff submits that (A) the Class should be certified, (B) the Settlement should be preliminary deemed fair, reasonable, and adequate, and (C) notice should be sent out as set forth in the Settlement.

The Settlement Class Should Be Certified. Α.

To facilitate the proposed settlement, Plaintiff respectfully requests that the Court conditionally certify the following settlement Class pursuant to NRCP 23: all hourly paid non-exempt persons employed by Defendant in the state of Nevada who earned less than 1 $\frac{1}{2}$ times the applicable minimum wage and who worked over eight (8) hours in a workday and were not paid their total owed wages for the time period

⁴ Nevada's rules of civil procedure track, for the most part, their federal counterpart. Therefore, given the lack of state law authority relating to class action settlement mechanisms, federal authority should be consulted and followed.

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commencing on January 17, 2017, up to and including the date the Court grants preliminary approval of this Settlement. Exhibit I at ¶ 1(e) and (y). The Parties agree that, for purposes of settlement, the criteria for certifying the Class under NRCP 23(a) and NRCP 23(c)(3) may be satisfied in this case, and the proposed Class should be certified for purposes of settlement.

1. All four criteria of NRCP 23(a) are met.

NRCP 23(a)(1): The class is so numerous that joinder of all members is impracticable. "As a general rule, classes numbering greater than forty individuals satisfy the numerosity requirement." Quintero v. Mulberry Thai Silks, Inc., No. 08-2294, 28 I.E.R. Cas. (BNA) 607, 2008 U.S. Dist. LEXIS 84976, at *7 (N.D. Cal. Oct. 22, 2008) (citation omitted). Here, the settlement Class consists of approximately 60 individuals. Although a relatively small number, Plaintiff submits that the numerosity criterion is satisfied. For purposes of approving this Settlement, Defendant does not oppose Plaintiff's assertion that sufficient numerosity exists.

NRCP 23(a)(2): There are questions of law or fact common to the Class.

The commonality requirement is construed liberally. Alberto v. GMRI, Inc., 252 F.R.D. at 660 (citation omitted); see also Hanlon v. Chrysler Corp., 150 F.3d 1011, 1019 (9th Cir. 1998) (Rule 23(a)(2) construed "permissively"). The class members' claims must share some substantial issues of law or fact, but need not be identical. Quintero, 2008 U.S. Dist. LEXIS 84976, at *8. Either "shared legal issues with divergent factual predicates" or "a common core of salient facts coupled with disparate legal remedies within the class" satisfies this criterion. Hanlon, 150 F.3d at 1019.

Here, all class members are current or former non-exempt employees of Defendant, employed from sometime between January 17, 2017 through the date the Court grants preliminary approval of the Settlement and who worked over eight (8) hours in a 24-hour period. Under Nevada law all non-exempt employees are entitled to be compensated for all overtime for all hours worked over eight (8) hours in a workday. provided that they make less than 1 ½ times the minimum wage rate. See NRS 608.018. Further, all non-exempt employees who leave their employment are also entitled to be paid all their wages due and owing at the time their employment ends.

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See NRS 608.020-.050. Given this legal foundation, Plaintiff and class members assert common factual and legal questions, which include, whether class members were compensated for all hours they worked at the appropriate legal rate pursuant to Nevada law and whether class members who are former employees were paid all their wages due and owing at the time of their termination. See, e.g., In re Wells Fargo Home Mortgage Overtime Pay Litig., 527 F. Supp.2d 1053, 1062-63 (N.D. Cal. 2007); Wang v. Chinese Daily News, Inc., 231 F.R.D. 602,607 (C.D. Cal. 2005). Based on these common issues, this criterion is met. For purposes of approving this Settlement, Defendant does not oppose Plaintiff's assertion that sufficient commonality exists.

NRCP 23(a)(3): The claims or defenses of the representative parties are typical of the claims or defenses of the class. Like commonality, the typicality standard is applied "permissive[ly]." See Staton, 327 F.3d at 957 (quoting Hanlon, 150 F.3d at 1020). It is satisfied if the representatives' claims are "reasonably coextensive with those of absent class members; they need not be substantially identical." Id. Here, named-Plaintiff is a former employee of Defendant who worked as a non-exempt hourly paid employee. See Complaint at ¶ 14. He further alleges Defendant did not pay him and similarly situated employees one and one-half times him hourly rate of pay for hours worked over eight (8) in a 24-hour period. Id. at ¶¶ 14-20. Plaintiff asserts the same wage and hour violations as all other members of the class. Thus, his claims are typical to those of the Class. For purposes of approving this Settlement, Defendant does not oppose Plaintiff's assertion that sufficient typicality exists.

NRCP 23(a)(4): The representative parties will fairly and adequately protect the interests of the class. Courts have interpreted this requirement as posing two questions: (1) whether either the named plaintiff or his counsel has any conflicts of interest with other class members, and (2) whether the named plaintiff and his counsel will vigorously prosecute the action on behalf of the class. See id. (citing Hanlon and other cases). Here, neither the named Plaintiff nor this counsel have interests antagonistic to those of other class members. On the contrary, the named Plaintiff shares with absent class members an interest in recovering compensation that Defendant allegedly denied them. In addition, Plaintiff's counsel has extensive

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experience in wage and hour class actions, as well as class action litigation more generally, and the proposed settlement was reached only after arm's-length direct settlement discussions. Exhibit I at ¶¶ 2, 7. Thus, the named-Plaintiff and Plaintiff's counsel are adequate representatives of the Class. For purposes of approving this Settlement, Defendant does not oppose such assertion.

2. The criteria of NRCP 23(b)(3) are met.

To certify a class under NRCP 23(c)(3), a court must find that common questions of fact or law predominate over questions affecting only individual members of the proposed class, and that a class action is the superior method for fairly and efficiently adjudicating the controversy. NRCP 23(c)(3). Both criteria are met here. For purposes of approving this Settlement, Defendant does not oppose such assertion.

The predominance requirement is met. The predominance inquiry "focuses on the relationship between the common and individual issues. When common questions present a significant aspect of the case and they can be resolved for all members of the class in a single adjudication, there is clear justification for handling the dispute on a representative rather than on an individual basis." Local Joint Executive Bd. of Culinary/Bartender Trust Fund v. Las Vegas Sands, Inc., 244 F.3d 1152, 1162 (9th Cir), cert. denied, 534 U.S. 973,122 S. Ct. 395 (2001) ("Local Joint Executive Bd.") (quoting *Hanlon*, 150 F.3d at 1022).

Plaintiff argues that common issues of law and fact predominate, and Defendant does not oppose this argument for purposes of settlement approval.

The superiority requirement is met. Determining whether a class action is the superior method of adjudicating a controversy involves "comparing alternative mechanisms of dispute resolution" as applied to the facts and claims. Wang v. Chinese Daily News, Inc., 231 F.R.D. at 614. Plaintiff alleges that the situation here is comparable to that of the Las Vegas Sands' former casino employees who sought damages for failure to provide a statutorily required 60-day notice before closure:

> This case involves multiple claims, some for relatively small individual sums. Counsel for the would-be class estimated that, under the most optimistic scenario, each class members would recover about \$1,330. If plaintiffs cannot

proceed as a class, some - perhaps most - will be unable to proceed as individuals because of the disparity between their litigation costs and what they hope to achieve.

Local Joint Executive Bd., 244 F.3d at 1163 ("Class actions ... may permit the plaintiffs to pool claims which would be uneconomical to litigate individually.") (citing Phillips Petroleum Co. v. Shutts, 472 U.S. 797, 809, (1985). In such a situation, the superiority requirement is "easily satisfied." *Id.* Plaintiff avers that the same holds true here. Defendant does not oppose such a finding for purposes of settlement approval.

B. The Proposed Settlement Is Fair, Reasonable, and Adequate.

The Manual for Complex Litigation § 21.62 identifies several factors that courts may weigh in determining whether a settlement is fair, reasonable, and adequate (Fed. R. Civ. P. 23(e)(2)), summarizing the inquiry as follows: Fairness calls for a comparative analysis of the treatment of class members vis-a-vis each other and vis-a-vis similar individuals with similar claims who are not in the class. Reasonableness depends on an analysis of the class allegations and claims and the responsiveness of the settlement to those claims. Adequacy of the settlement involves a comparison of the relief granted relative to what class members might have obtained without using the class action process. *Id.*, § 21.62 at 315.

At the preliminary approval stage, courts do not make a final determination of fairness, reasonableness, and adequacy. Instead, the key question at this point is only whether the settlement is "potentially fair, as the Court will make a final determination of [the settlement's] adequacy at the hearing on Final Approval, after such time as any party has had a chance to object and/or opt out." *Acosta v. Equifax Info. Servs. LLC*, 243 F.R.D. 377,386 (C.D. Cal. 2007). Thus, the inquiry should focus on whether the proposed settlement falls within the "range of possible approval" and appears to be sufficiently fair, reasonable, and adequate to warrant distributing notice to class members informing them about the proposed settlement and their options for responding and participating. *Molski v. Gleich*, 318 F.3d 937,944 (9th Cir. 2003); *see also Manual for Complex Litigation* § 21.632. "Once the judge is satisfied as to the ... results of the initial inquiry into the [1] fairness, [2] reasonableness and [3] adequacy of

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the settlement," the court should direct notice to issue and schedule a final approval hearing. Id., § 21.633 at 321. Plaintiff avers that all three are preliminarily met as follows:

1. The Settlement Is Fair.

Fairness of distribution among class members. The proposed settlement is fair in that class members' benefits are determined by the number of daily overtime hours they worked during the class period. See Settlement at ¶ 10(d). Furthermore, the proposed settlement would release only participating class members' wage and hour claims, not all potential employment claims, in exchange for the financial benefits they receive. *Id.* at ¶ 18.

Fairness of proposed attorneys' fees. The allocation of total settlement funds between class members and the attorneys is also fair, in that the settlement agreement provides for Plaintiff's counsel to seek no more than one-third of the maximum settlement amount in fees. The requested fees are fair compensation for undertaking complex, risky, expensive, and time-consuming litigation solely on a contingency basis. Further, the requests are in line with other attorneys' fees awards for wage and hour class actions, particularly where a significant portion of the class members will be receiving substantial claim payment amounts of several hundred dollars. courts have recognized that an appropriate method for awarding attorneys' fees in class action is to award a percentage of the "common fund" created as a result of the settlement. Vincent v. Hughes Air West, Inc., 557 F.2d 759, 769 (9th Cir. 1977). The purpose of the common fund/percentage approach is to "spread litigation costs proportionally among all the beneficiaries so that the active beneficiary does not bear the entire burden alone." Id.

Moreover, several courts have expressed frustration with the alternative "lodestar" approach for deciding fee awards, which usually involves wading through voluminous and often indecipherable time records. Commenting on the loadstar approach, Chief Judge Marilyn Hall Patel wrote in In re Activision Securities Litigation, 723 F.Supp. 1373, 1375 (N.D. Cal. 1989):

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This court is compelled to ask, "Is this process necessary?" Under a cost-benefit analysis, the answer would be a resounding, "No!" Not only does the Lindy Kerr-Johnson analysis consume an undue amount of court time with little resulting advantage to anyone, but in fact, it may be in the detriment of the class members. They are forced to wait until the court has done a thorough, conscientious analysis of the attorneys' fees petition. Or, class members may suffer a further diminution of their fund when a special master is retained and paid from the fund. Most important, however, is the effect the process has on the litigation and the timing of settlement. Where attorneys must depend on a lodestar approach, there is little incentive to arrive at an early settlement.

Indeed, the percentage approach is preferable to the lodestar because: (1) it aligns the interests of class counsel and absent class members; (2) it encourages efficient resolution of the litigation by providing an incentive for early, yet reasonable, settlement; and (3) it reduces the demands on judicial resources. In re Activision Securities Litigation, 723 F. Supp. at 1378-79. Courts now routinely uses the percentage of the common fund approach to determine the award of attorneys' fees. (See, e.g., In re Pacific Enterprises Securities Litigation, 47 F.3d 373, 378-79 (9th Cir. 1994) (approving attorneys' fees of 33 1/3% of settlement fund).

Class counsels' application for one-third of the Settlement Funds is within the range of reasonableness. Historically, courts have awarded percentage fees in the range of 20% to 50% of the common fund, depending on the circumstances of the case. Newberg on Class Action § 14:6 (4th ed. 2008); see also In re Activision Securities Litigation, 723 F.Supp. 1373, 1378 (N.D. Cal. 1989). According to Newberg: "No general rule can be articulated on what is a reasonable percentage of a common fund. Usually 50% of the fund is the upper limit on a reasonable fee award from a common fund in order to assure that the fees do not consume a disproportionate part of the recovery obtained for the class, although somewhat larger percentages are not unprecedented." Newberg, § 14:6. Accordingly, the attorneys' fees sought in this case are fair and reasonable.

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Fairness of proposed "enhancement" award for the named Plaintiff. The principle of fairness is also well served by the \$2,500.00 enhancement payment proposed for the named Plaintiff. Plaintiff provided invaluable assistance to Plaintiff's counsel in explaining Defendant's alleged compensation policies and procedures and in providing information to assist in the settlement negotiations. Further, Plaintiff incurred significant personal risk in bringing this lawsuit on behalf of the other persons in the class. See, e.g., Koehl v. Verio, 142 Cal. App. 4th 1313, 1328 (2006) (in wage and hour action where defendant prevailed at trial, named plaintiffs were held liable, jointly and severally, for defendant's attorneys' fees). They could have been held liable for Defendant's costs if they were ultimately unsuccessful in resolving the case and their potential employment opportunities could (and can still be) impacted because of their public participation in this lawsuit. Such service payments are recognized as serving an important function in promoting class action settlements. In League of Martin v. City of Milwaukee, 588 F. Supp. 1004 (E.D. Wis. 1984), the court held that the proposed settlement properly granted the named plaintiff additional relief, explaining that it is "not uncommon for class ... members to receive special treatment in settlement" when they have been instrumental in prosecuting the lawsuit. Id. at 1024. Accordingly, the enhancement is fair.

2. The Settlement is Reasonable.

At \$35,000.00 overall, the proposed settlement is reasonable. This is not a settlement where the aggregate figure is large simply because the size of the class is in the tens or hundreds of thousands. Instead, here the class size is relatively small in comparison, but Class Members are eligible for financial benefit. These considerations indicate that the proposed settlement falls within the range of reasonableness, warranting preliminary approval.

This conclusion is reinforced by considering such factors as the risk that a class might not be certified or might be significantly smaller than proposed; and the time, expense, and complexity of the litigation, including the possibility of appellate proceedings. Counsel for Plaintiff and Defendant are in agreement the \$35,000.00

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settlement represents a reasonable recovery based on the alleged violations. See Exhibit I at ¶¶ 5, 7.

Furthermore, while Class Counsel believe that Plaintiff's claims are meritorious. they are experienced class action litigators, and understand that the outcome of class certification, trial, and any attendant appeals were inherently uncertain, as well as likely to consume many more months, even years. See a true and correct copy of the Declaration of Christian Gabroy, Esq. attached hereto as Exhibit II at ¶ 14. Having reviewed relevant compensation data and employment information, counsel for the parties—all experienced class action litigators well versed in wage and hour law arrived at a reasonable resolution through a protracted an arms'-length direct negotiation process, which continued into all details of the settlement agreement and ancillary documents. See Exhibit II at 6.

Another factor considered in approving a settlement is the complexity, expense. and likely duration of the litigation. Offices for Justice, 688 F.2d at 625. The Court must weigh the benefits of the proposed settlement against the expense and delay involved in achieving an equivalent or more favorable result at trial. See, e.g., Young v. Katz, 447 F .2d 431,433-34 (5th Cir. 1971). The policy that favors settlement of class actions and other complex cases applies with particular force here. Employment cases, and specifically wage and hour cases, are expensive and time-consuming. That this is a class action further amplifies the economies of time, effort, and expense achieved by the settlement. Inevitably, the certification process alone would add time and expense to the litigation process. The settlement, on the other hand, provides class members substantial, prompt and efficient relief. The settlement in this case is therefore consistent with the "overriding public interest in settling and quieting litigation" that is "particularly true in class action suits." See Van Bronkhorst v. Safeco Corp., 529 F.2d 943, 950 (9th Cir. 1976) (footnote omitted); see also 4 Newberg on Class Actions § 11.41 (citing cases).

In sum, the resulting settlement is, in light of all applicable factors, reasonable, and warrants notification of its terms to members of the potential class for their consideration and response.

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3. The Settlement Is Adequate.

As previously mentioned, in a somewhat similar class action the court aptly observed that it would have been irrational for most, and probably all, class members to pursue their claims on an individual basis "because of the disparity between their litigation costs and what they hope to recover." Local Joint Executive Bd., 244 F.3d at 1163.

The recovery provided through the settlement is reasonable, especially as its adequacy must be judged as "a yielding of absolutes and an abandoning of highest hopes.... Naturally, the agreement reached normally embodies a compromise; in exchange for the saving of cost and elimination of risk, the parties each give up something they might have won had they proceeded with litigation.... "Officers for Justice, 688 F.2d at 634 (citation omitted). Accordingly, the settlement is not to be judged against a speculative measure of what might have been achieved. Linney v. Cellular Alaska P'ship, 151 F .3d 1234, 1242 (9th Cir. 1998). An additional consideration is that the settlement provides for payment to the class now, rather than a payment many years down the road, if ever. See City of Detroit v. Grinnell Corp., 495 F .2d 448, 463 (2d Cir. 1974).

Thus, considering the present value of the settlement sum, the probability of lengthy litigation in the absence of a settlement, and the risks that the class might not have prevailed at trial, it is no exaggeration to predict that without using the class action process, the relief that members of the class were likely to achieve ranged from negligible to zero. Consequently, the \$35,000.00 settlement satisfies the criterion of adequacy. See Manual for Complex Litigation § 21.62 ("Adequacy of the settlement involves a comparison of the relief granted relative to what class members might have obtained without using the class action process.").

C. The Notice Adequately Informs Class Members Of The Settlement.

The Notice explains the process; how to request to be excluded or object; and the consequences of the action the class member takes (doing nothing, opting out, or objecting), in terms of both financial benefit and release of state claims. See Exhibit A to the Settlement Agreement, generally. The Notice advises class members about the

final approval hearing, their rights with respect to that hearing, and how to get more information. Id. The 30-day time frame to claim, opt out, or object is reasonable, allowing class members to digest the information in the notice and obtain answers to questions before deciding on the action they want to take. Id. Accordingly, in addition to approving the Settlement agreement as a whole, the Parties respectfully ask that the Court approve the Notice and other ancillary forms in substantially the format presented with the Settlement.

IV. CONCLUSION.

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Based on the information and reasons provided above, Plaintiff respectfully requests that the Court enter the proposed order granting preliminary approval of the class action settlement that is attached to the Settlement as Exhibit D.

Date: February 26, 2021 GABROY LAW OFFICES

> CHRISTIAN GABROY (Nev. Bar No. 8805) KAINE MESSER (Nev. Bar No. 14240)

Mark R. Thierman (Nev. Bar No. 8285) Joshua D. Buck (Nev. Bar No. 12187) THIERMAN BUCK LLP

Counsel for Plaintiff

Date: February 26, 2021 JACKSON LEWIS P.C.

> /s/ Daniel Aquino DANIEL I. AQUINO (Nev. Bar No. 12682)

Counsel for Defendant

4846-5730-1470, v. 1

EXHIBIT

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GABROY LAW OFFICES

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| 1 | Henderson, Nevada 89012 Tel. (702) 259-7777 |
| 2 | Fax. (702) 259-7704 Attorneys for Plaintiff |
| 3 | EIGHTH JUDIO |
| 4 | CLARK C |
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EIGHTH JUDICIAL DISTRICT COURT CLARK COUNTY, NEVADA

JOHN VALENCIA, on behalf of himself and all others similarly situated,

Plaintiff,

VS.

P & M HOLDINGS, LLC d/b/a and a/k/a GOLDEN STEER STEAKHOUSE; DOES 1 through 50; inclusive,

Defendant(s).

JOINT STIPULATION OF SETTLEMENT AND RELEASE

This Joint Stipulation of Settlement and Release ("Settlement") is made and entered into by and between plaintiff John Valencia ("Plaintiff") on behalf of himself and all others similarly situated, and defendant P & M Holdings, LLC d/b/a and a/k/a Golden Steer Steakhouse ("Defendant" or "P & M"). Plaintiff and Defendant are collective referred to herein as the "Parties."

THE PARTIES STIPULATE AND AGREE as follows:

1. The following terms shall have the meanings ascribed to them below:

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| a. "Action" means the lawsuit to be filed for court approval purposes |
|--|
| only in the Eighth Judicial District Court of Nevada, Clark County, entitled Valencia v. P |
| & M Holdings, LLC d/b/a and a/k/a Golden Steer Steakhouse and all other lawsuits, |
| previously filed, alleging the same or substantially the same facts and theories |
| identified in the "Released Claims" (defined below). |

- b. "Claims Administrator" means Simpluris, or such other claims administrator as may be mutually agreeable to the Parties.
- C. "Class Counsel" means Mark Thierman, Esq., Joshua Buck, Esq., and Leah Jones, Esq., of Thierman Buck LLP of Reno, Nevada and Christian Gabroy, Esg., and Kaine Messer, Esg., of Gabroy Law Offices of Henderson, Nevada.
- d. "Class Counsel Award" shall have the meaning ascribed to it in Paragraph 12 below.
- "Class Members" means those individuals that are within the e. "Settlement Class" (defined below), each of whom is a "Class Member."
- f. "Class Period" means January 17, 2017 through the date the Court grants preliminary approval of this Settlement.
 - "Class Representative" means Plaintiff John Valencia. g.
- h. "Court" means the Eighth Judicial District Court of Nevada, Clark County.
- i. Court's "Preliminary Approval Order" means the preliminary order approving the Settlement, as more fully described in Paragraph 18 below, and in substantially the form attached hereto as Exhibit D, and as approved by the Court.
- j. Court's "Final Order and Judgment" means the Final Order Approving Class Action Settlement and Judgment, as more fully described in Paragraph 21 below in substantially the form attached hereto as Exhibit E, and as approved by the Court.
- "Defendant" means Defendant P & M Holdings, LLC d/b/a and a/k/a Golden Steer Steakhouse.

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| 2 | 10(b) below. |
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| 3 | m. "Enhancement Award" means, subject to approval by the Court, a |
| 4 | maximum payment of \$2,500.00 to the Class Representative from the Maximum |
| 5 | Settlement Amount, which is in addition to the Class Representative's individual |
| 6 | Settlement Award pursuant to this Settlement. |
| 7 | n. P & M means Defendant P & M Holdings, LLC d/b/a and a/k/a |
| 8 | Golden Steer Steakhouse, the employer of the Class Members. |
| 9 | o. "Maximum Settlement Amount" shall be as defined in Paragraph |
| 10 | 10(c) below. |
| 11 | p. "Net Settlement Amount" shall be as defined in Paragraph 10(c) |
| 12 | below. |
| 13 | q. "Notice" means the Notice of Pendency of Class Action, Proposed |
| 14 | Settlement and Hearing Date for Final Court Approval in substantially the form attached |
| 15 | hereto as Exhibit A, and as approved by the Court. |
| 16 | r. "Objection Deadline" means the date that is thirty (30) calendar |
| 17 | days following the initial mailing of the Notice by the Claims Administrator to Class |
| 18 | Members. |
| 19 | s. "Parties" means collectively the Plaintiff and Defendant, each of |
| 20 | whom is a "Party." |
| 21 | t. "Plaintiff" means plaintiff John Valencia, on behalf of himself and |
| 22 | all others similarly situated. |
| 23 | u. "Released Claims" means the claims to be released by the |
| 24 | Settlement Class as fully set forth in Paragraph 18 of this Agreement. |
| 25 | v. "Released Parties" collectively means: (i) Defendant (ii) |
| 26 | Defendant's respective past, present and future parents, subsidiaries, joint ventures, |
| 27 | and affiliates; (iii) the past, present and future shareholders, directors, owners, officers, |
| 28 | members, managers, agents, employees, attorneys, insurers, predecessors, |

"Effective Date" shall have the meaning ascribed to it in Paragraph

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successors, licensors, licensees, subsidiaries and assigns of any of the foregoing; and (iv) any individual or entity which could be jointly liable with any of the foregoing.

- W. "Settlement" or "Stipulation of Settlement" or "Agreement" means this Joint Stipulation of Settlement and Release between Plaintiff and Defendant.
- "Settlement Awards" means Settlement amounts paid Χ. Defendant (on behalf of the Released Parties) to eligible Class Members according to a specified formula submitted as further described herein.
- у. "Settlement Class" means all hourly paid non-exempt persons employed by Defendant in the state of Nevada who earned less than 1 1/2 times the applicable minimum wage and who worked over eight (8) hours in a workday and were not paid their total owed wages for the time period commencing on January 17, 2017, up to and including the date the Court grants preliminary approval of this Settlement.
- 2. Plaintiff sent an NRS 608.140 demand to Defendant with enclosed confidential draft complaint alleging various causes of action for unpaid wages on behalf of himself and all similarly situated individuals under the Nevada Revised Statutes. Specifically, Plaintiff alleges that Defendant failed to: (1) pay all overtime in violation of NRS 608.140 and 608.018 and (2) timely pay all wages due and owing in violation of NRS 608.140 and 608.020-050.

Following the dispatching of such demand but prior to the draft confidential complaint, the Parties entered into a tolling agreement on January 24, 2020 to discuss potential early resolution. The parties then engaged in extensive discussions regarding their respective positions and the information and data needed to properly evaluate the merits of the claims alleged. The parties also engaged in informal discovery. Defendant's counsel provided Class Counsel with time and class member data. Following extensive discussions regarding the strengths of their respective positions, the Parties reached a proposed class action settlement through arms-length direct negotiations.

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3. The Parties now enter into this Stipulation of Settlement for preliminary and final Court approval of the Settlement.

a. Solely for the purpose of settling this case, the Parties stipulate and agree to the conditional certification of the following Settlement Class: "all hourly paid non-exempt persons employed by Defendant in the state of Nevada who earned less than 1 ½ times the applicable minimum wage and who worked over eight (8) hours in a workday and were not paid their total owed wages for the time period commencing on January 17, 2017, up to and including the date the Court grants preliminary approval of this Settlement." The Parties agree that if for any reason the Settlement is not preliminarily and finally approved, the conditional certification of the Settlement Class will be of no force or effect, does not constitute an admission by Defendant that class certification is proper, and will not be deemed admissible in this or any other proceeding, and that the Parties will litigate the issue of class certification. The Parties agree that the Complaint will be filed for purposes of court approval only, and that in the event the Settlement is not preliminarily and finally approved, litigation will proceed in normal course.

- 4. This Settlement is not an admission of any liability or wrongdoing by Defendant or any Released Party. Defendant, on its own behalf and on behalf of the Released Parties, specifically deny any liability or wrongdoing of any kind whatsoever for the claims alleged in the Action, and further deny that, for any purpose other than settling the Action, the Action is appropriate for class or representative treatment. With respect to Plaintiff's claims, Defendant contends, among other things, that it has complied with all applicable state, federal and local laws affecting Plaintiff and the other Class Members regarding unpaid wages, unpaid overtime, and penalties.
- 5. It is the desire of the Parties to fully, finally and forever settle, compromise, and discharge all Released Claims. To achieve a full and complete release of all Released Claims in favor of Defendant and the other Released Parties, each Class Member acknowledges that this Stipulation of Settlement is intended to

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include in its effect all claims reasonably arising out of the allegations made in the Action and all Released Claims against all Released Parties as of the date of the Court's Final Order and Judgment.

- 6. It is the intention of the Parties that this Stipulation of Settlement shall constitute a full, final, and complete settlement and release of all Released Parties with respect to all Released Claims.
- 7. Class Counsel have conducted a thorough investigation into the facts of the Action, including an extensive review of relevant documents and data, and have diligently pursued an investigation of Class Members' claims against Defendant and the other Released Parties. Based on their independent investigation and evaluation, Class Counsel are of the opinion that the Settlement is fair, reasonable and adequate and is in the best interest of the Settlement Class in light of all known facts and circumstances, including the risk of significant delay, the potential that class certification may not be granted, the defenses asserted by Defendant, and numerous potential appellate issues. Defendant and Defendant's counsel also agree that the Settlement is fair and in the best interest of the Settlement Class.
- 8. The Parties agree to cooperate and take all steps necessary and appropriate to consummate this Settlement and to dismiss this Action with prejudice after all Settlement sums have been paid out in accordance with this Stipulation of Settlement.
- 9. This Stipulation of Settlement provides for a claims process requiring Defendant to pay Settlement Awards on behalf of the Released Parties according to a specified formula, as defined below. Settlement Awards will be allocated from the "Net Settlement Amount" (defined below in Paragraph 10(c)). Defendant's aggregate maximum total payment under the Settlement, inclusive of all amounts for all claims. Class Counsel's attorneys' fees and costs, the Enhancement Award, and claims administration costs is thirty-five thousand dollars and no cents (\$35,000,00) as set forth in Paragraph 10(c) below ("Maximum Settlement Amount"). It is further

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understood and agreed by the Parties that the following amounts shall be paid from the Maximum Settlement Amount: (a) Settlement Awards for the valid claims filed by the Class Members; (b) the Enhancement Award approved by the Court; (c) the reasonable fees and expenses of the Claims Administrator approved by the Court; and (d) Class Counsel's attorney's fees and costs approved by the Court. It is further understood and agreed by the Parties that the normal employer's portion of payroll taxes shall not be paid from the Maximum Settlement Amount. By virtue of the Settlement and in connection with the Action, Defendant and the other Released Parties shall have no obligation to pay any person or entity any amounts beyond the Maximum Settlement Amount, other than the normal employer's portion of payroll taxes.

TERMS OF SETTLEMENT

- 10. NOW THEREFORE, in consideration of the mutual covenants, promises and agreements set forth herein, the Parties agree, subject to the Court's approval, as follows:
- Settlement All-Inclusive: It is agreed by and among Plaintiff and a. Defendant that the Action and all Released Claims, damages or causes of action of any kind arising out of the disputes that reasonably arise or could have arisen out of the facts alleged in the Action, be settled and compromised as between the Settlement Class on the one hand and Defendant and the Released Parties on the other hand, subject to the terms and conditions set forth in this Stipulation of Settlement and the approval of the Court. This Settlement shall bind the Class Members, Defendant and its respective counsel, subject to the terms and conditions hereof and the Court's approval.
- b. Effective Date: The Settlement embodied in this Stipulation of Settlement shall become effective when all of the following events have occurred ("Effective Date"): (i) this Stipulation of Settlement has been executed by all Parties. Class Counsel and Defendant's Counsel; (ii) the Court has given preliminary approval

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to the Settlement; (iii) the Notice has been sent to the Settlement Class, providing them with an opportunity to submit a form to opt out of the Settlement or to object to the Settlement; (iv) the Court has held a formal fairness hearing and entered the Court's Final Order and Judgment Dismissing; and (v) in the event there are written objections filed prior to the formal fairness hearing that are not later withdrawn, the latest of the following events: (A) when the period for filing any appeal, writ or other appellate proceeding opposing the Settlement has elapsed without any appeal, writ or other appellate proceeding having been filed; (B) when any appeal, writ or other appellate proceeding opposing the Settlement has been dismissed finally and conclusively with no right to pursue further remedies or relief; or (C) when any appeal, writ or other appellate proceeding has upheld the Court's Final Order and Judgment with no right to pursue further remedies or relief. In this regard, it is the intention of the Parties that the Settlement shall not become effective until the Court's Final Order and Judgment is completely final, and there is no further recourse by any appellant or objector who seeks to contest the Settlement. It is further agreed by the Parties that this Settlement shall not become effective if Defendant or the other Released Parties, contrary to Paragraph 10(c) below, are required to pay to any person or entity any amounts beyond the Maximum Settlement Amount. The occurrence of the Effective Date is a prerequisite to any obligation of Defendant to pay any funds into the Settlement Account.

Maximum Settlement Amount and Net Settlement Amount: Ç. implement the terms of this Settlement, Defendant agrees to pay a maximum amount of Thirty-Five Thousand Dollars and No Cents (\$35,000.00) for the "Maximum Settlement Amount," which includes all Settlement Awards to Class Members, the Enhancement Award to the Class Representative, the attorneys' fees and costs to Class Counsel, and the claims administration costs and expenses. At no time shall Defendant have the obligation to segregate the funds comprising the Maximum Settlement Amount, and Defendant shall retain exclusive authority over and

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responsibility for those funds after the Court's final approval of this Settlement and the expiration of any other applicable period referenced in this Stipulation of Settlement. and subject to disbursement of the funds necessary for payment of the Settlement Awards, the approved attorneys' fees and costs for Class Counsel, the approved Enhancement Award to the Class Representative, the approved claims administration fees and expenses, and any other payments provided by this Settlement. All claims disbursed to all Class Members, the Enhancement Award to the Class Representative, the attorneys' fees and costs of Class Counsel, and the fees and expenses of the Claims Administrator shall be paid out of the Maximum Settlement Amount. The "Net Settlement Amount" will be calculated by deducting from the Maximum Settlement Amount the following: (a) the Enhancement Award to the Class Representative approved by the Court; (b) the Class Counsel Award (for Class Counsel's attorneys' fees and expenses) approved by the Court; and (c) the Claims Administrator's reasonable fees and expenses approved by the Court ("Net Settlement Amount"). Settlement Awards to the Class Members will be calculated by the Claims Administrator and paid out of the Net Settlement Amount, as set forth below.

d. Settlement Awards to Class Members: Subject to the terms and conditions of this Agreement, the Claims Administrator will distribute a payment from the Net Settlement Amount to each Class Member, according to a calculation of Settlement Awards to Class Members. The Claims Administrator will calculate the Award for each Class Member by utilizing the following formula: taking, (A) the number of daily overtime hours worked by each Class Member from January 17, 2017 through ; divided by (B) the total number of daily overtime hours worked by all Class Members from January 17, 2017 through _____; to obtain (C) the percentage of the total daily overtime hours worked by each Class Member. That percentage will then be applied to the Net Settlement Amount to determine each Class Member's Settlement Award. Any amounts not distributed to Class Members will be reverted to Defendant. Within 30 days after funding of the Settlement, the Claims Administrator will

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mail settlement checks to Class Members. If any check mailed to a Class Member is not cashed within 90 days after issuance, the check will be cancelled, and the amount of that check will be reverted to Defendant.

e. Taxes, Withholdings, and Allocation: Settlement Awards for each Class Member will be allocated from the Net Settlement Amount and paid as follows: one-third (1/3) will be allocated to alleged unpaid wages for which IRS Forms W-2 will issue; one-third (1/3) will be allocated to alleged unpaid penalties for which IRS Forms 1099-MISC will issue; and one-third (1/3) will be allocated to alleged unpaid interest for which IRS Forms 1099-MISC will issue. Each Class Member who receives a Settlement Award shall pay his/her share of payroll taxes from the one-third (1/3) of the Settlement Award allocated to alleged unpaid wages. Accordingly, each Settlement Award will be reduced by applicable payroll tax withholdings and deductions. Defendant shall pay the normal employer's portion of payroll taxes separately, as calculated by the Claims Administrator. Named Plaintiff (John Valencia) will be issued his Settlement Award at the time the Claims Administrator issues Settlement Awards to all other Class Members.

f. Settlement Awards Do Not Trigger Additional Benefits: ΑII Settlement Awards to Class Members shall be deemed to be income to such Class Members solely in the year in which such awards actually are received by the Class Members. It is expressly understood and agreed that the receipt of such Settlement Awards will not entitle any Class Member to additional compensation or benefits under any bonus, contest or other compensation or benefit plan or agreement in place during the period covered by the Settlement, nor will it entitle any Class Member to any increased retirement, 401(k) benefits or matching benefits, or deferred compensation benefits. It is the intent of this Settlement that the Settlement Awards provided for in this Agreement are the sole payments to be made by Defendant to the Class Members in connection with this Settlement, and that the Class Members are not entitled to any new or additional compensation or benefits as a result of having received the

Settlement Awards (notwithstanding any contrary language or agreement in any benefit or compensation plan document that might have been in effect during the period covered by this Settlement).

- g. <u>Class Representative</u>: Subject to Court approval, Defendant agrees to pay Class Representative, on behalf of the Released Parties, an Enhancement Award of \$2,500.00 for his service as Class Representative. The Enhancement Award shall be part of the Maximum Settlement Amount. Defendant will not object to Class Counsel's application for Court approval of the Enhancement Award to Plaintiff. It is understood the Enhancement Award is in addition to any individual Settlement Award to which Plaintiff is entitled along with other Class Members. The Claims Administrator will issue to the Class Representative an IRS Form 1099 for the Enhancement Award, and the Class Representative will be solely responsible for correctly characterizing the Enhancement Award for tax purposes and for paying any taxes on the amounts received.
- h. <u>Establishment of Settlement Account</u>: The Claims Administrator shall establish a Settlement Account for the purpose of distributing Settlement Awards and other payments identified in this Agreement. Within five (5) days after the Effective Date, Defendant shall pay into the Settlement Account an amount equal to the sum of the following: (a) all Settlement Awards due to Class Members; (b) the Enhancement Awards to the Class Representative approved by the Court; (c) the Class Counsel Award payment approved by the Court; (d) the Claims Administrator's reasonable fees and expenses approved by the Court; and (e) the normal employer's portion of payroll taxes. Defendant shall have no obligation to pay any additional funds into the Settlement Account.

CLAIMS ADMINISTRATION

11. The Claims Administrator will send out to the Class Members the Notice by first class United States mail. The Claims Administrator will review the Class Members data based on Defendant's records and will calculate the Settlement Awards

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to Class Members in accordance with this Stipulation of Settlement. The Claims Administrator shall report in writing the substance of its findings to the Parties. The Claims Administrator shall be granted reasonable access to Defendant's records to perform its duties. At the request of the Parties and upon receipt of funds from Defendant, the Claims Administrator shall issue and mail the Settlement Award checks to the Class Members within thirty (30) calendar days of its receipt from Defendant of funds into the Settlement Account, as described in Paragraph 10(h). Tax treatment of the Settlement Awards will be as set forth herein, and in accordance with state and federal tax laws; provided, however, Plaintiff and other Class Members herein acknowledge that neither the Released Parties nor Defendant's counsel has or is providing any tax advice. All disputes relating to the Claims Administrator's performance of its duties shall be referred to the Court, if necessary, which will have continuing jurisdiction over the terms and conditions of this Stipulation of Settlement until all payments and obligations contemplated by this Stipulation of Settlement have been fully carried out.

<u>ATTORNEYS' FEES AND COSTS</u>

12. In consideration for settling this matter and in exchange for the release of claims by the Settlement Class, and subject to final approval or modification by the Court, Defendant agrees not to object to a payment of up to a maximum of one-third of the Maximum Settlement Amount (\$11,666.67) for all current and future attorneys' fees and expenses of Class Counsel and actual costs up \$1,000.00 that Class Counsel incurred in the Action as the "Class Counsel Award." Defendant will not object to Class Counsel's application for attorneys' fees and costs set forth above. The amounts set forth above will cover all work performed and all fees and costs incurred to date, and all work to be performed and all fees and costs to be incurred in connection with the approval by the Court of this Stipulation of Settlement, administration of the Settlement, obtaining judgment in the Action, and any challenges, writs or appeals to the Settlement. Should Class Counsel request a lesser amount, or the Court approve a

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lesser amount for the Class Counsel Award, the difference between the lesser amount and the maximum amount set forth above shall be added to the Net Settlement Amount.

The attorneys' fees and costs approved by the Court shall be distributed 13. to Class Counsel by the Claims Administrator within five (5) days of the Claims Administrator's receipt from Defendant of funds into the Settlement Account, as described in Paragraph 10(h).

NOTICE TO THE SETTLEMENT CLASS

- 14. Notice of this Settlement shall be sent via U.S. Mail.
- a. U.S. Mail. The Notice shall be sent by the Claims Administrator to the Class Members by first class mail based on the following procedure. Any returned envelopes from this mailing with forwarding addresses will be used by the Claims Administrator to forward the Notice to Class Members.
- Within ten (10) calendar days of preliminary approval of this Settlement by the Court, Defendant shall provide to the Claims Administrator a spreadsheet, which will list for each Class Member the Class Member's name, lastknown address, social security number, and the number of daily overtime hours worked by each Class Member during the Class Period. The Parties agree that each Class Member's name, last-known address, social security number, and any other Settlement class data, will be used only by the Claims Administrator for the sole purpose of effectuating the Settlement, and will not be provided to Class Counsel. spreadsheet shall be based on Defendant's payroll and/or personnel records, and in a format reasonably acceptable to the Claims Administrator. Defendant agrees to consult with the Claims Administrator prior to the production date to ensure that the format will be acceptable to the Claims Administrator.
- ii. The Claims Administrator will run a check of the Class Members' last-known addresses against those on file with the U.S. Postal Service's National Change of Address List. Within twenty-one (21) calendar days of preliminary

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approval of this Settlement by the Court, the Claims Administrator will mail the Notice to the Class Members. The Class Members will have thirty (30) calendar days from the date of the Claims Administrator's initial mailing of the Notice in which to postmark claim forms, requests for exclusion, or to file objections to the Stipulation of Settlement.

iii. Notices returned to the Claims Administrator as nondelivered shall be resent to the forwarding address, if any, on the returned envelope. If there is no forwarding address, the Claims Administrator will do a computer search for a new address using the Class Member's social security number. Said search will be performed by the Claims Administrator one time for each Notice returned without a forwarding address per Class Member. Upon completion of these steps by the Claims Administrator, Defendant and the Claims Administrator shall be deemed to have satisfied their obligations to provide the Notice to the affected Class Member, and, regardless of whether the affected Class Member actually receives the Notice, the affected Class Member shall remain a member of the Settlement Class and shall be bound by all the terms of the Settlement and the Court's Final Order and Judgment.

iν. Class Counsel shall provide to the Court, at least seven (7) calendar days before the final fairness hearing, a declaration by the Claims Administrator of due diligence and proof of mailing with regard to the mailing of the Notice.

CLAIM PROCESS

15. Each Class Member who wishes to receive a Settlement Award must complete and return a Claim Form, as provided for in the Class Notice. Class Members will have thirty (30) days from the initial mailing of the Claim Forms within with to postmark their Claim Forms for return to the Claims Administrator or submit their claim Forms electronically via facsimile. Class Members are responsible for maintaining a photocopy of the fully completed Claim Form and record of proof of mailing. Claim Forms must be signed, dated, and completed in full to be valid. If a Claim Form is timely received, but not completed in full, the Claims Administrator will send one

Henderson, Nevada 89012 (702) 259-7777 FAX: (702) 259-7704

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deficiency notice to the Class Member advising the Class Member to cure the deficiency. The deficiency notice will provide the Class Member a maximum of fifteen (15) calendar days from the date of mailing of the deficiency notice to cure the deficiency; the completed Claim Form must be postmarked no later than the fifteenth (15th) day after the date of mailing of the deficiency notice, or it must be rejected as untimely by the Claims Administrator unless otherwise mutually agreed upon in writing by the Parties. The Parties agree to meet and confer on late and deficient Claim Forms, and may mutually agree in writing to accept late or deficient Claim Forms provided good cause is shown. The Parties further agree that the Class Representative (Plaintiff John Valencia) shall have no obligation to complete and return a Claim Form in order to receive his individual Settlement Award or his Enhancement Award, though he may nevertheless do so at the request of the Claims Administrator for recordkeeping purposes, out of an abundance of caution, or for similar reasons.

EXCLUSION PROCESS

16. A Class Member may request to be excluded from the effect of this Agreement, and any payment of amounts under this Agreement, by submitting a Request for Exclusion to the Claims Administrator stating that the Class Member wants to be excluded from this Action. Class Members will have thirty (30) calendar days from the initial mailing date of the Notice within which to postmark or fax their Requests for Exclusion to the Claims Administrator. The Claims Administrator will not send any reminder notices to Class Members about the exclusion process. No Request for Exclusion will be honored if postmarked or faxed after the thirty (30) calendar-day period. Class Members are responsible for maintaining a photocopy of the Request for Exclusion and record of proof of mailing. Unless a timely and valid Request for Exclusion is filed consistent with the terms of this Stipulation of Settlement, the Class Member shall be bound by this Stipulation of Settlement and the Settlement Class release. The Parties agree to meet and confer if the intent of a particular Request for

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Exclusion is ambiguous and may mutually agree to accept such Request for Exclusion for good cause shown; ambiguous does not mean untimely Requests for Exclusion.

OBJECTION PROCESS

17. A Class Member may object to this Settlement. For a Class Member to object to the Settlement, a Class Member must file with the Court no later than the Objection Deadline a notice of objection, signed by the Class Member or his or her counsel, stating the Class Member's: (i) name; (ii) current address; (iii) telephone number; (iv) dates of employment with Employer; (v) last 4-digits of his or her social security number; and (vi) basis of the objection. The Class Member objecting to the settlement must also serve a copy of his or her notice of objection on counsel for the Parties and the Claims Administrator by the Objection Deadline. The postmark date of the filing and service shall be deemed the exclusive means for determining that the notice of objection is timely. The Claims Administrator will not send any reminder notices to Class Members about the objection process. Class Members who fail to make objections in the manner specified above shall be deemed to have waived any objections and shall be foreclosed from making any objections (whether by appeal or otherwise) to the Settlement. Class Members who file and serve timely notices of objection will have a right to appear at the Court's hearing to provide final approval of the Settlement in order to have their objections heard by the Court. At no time shall any of the Parties or their counsel seek to solicit or otherwise encourage Class Members to submit written objections to the Settlement or appeal from the Court's Final Order and Judgment. Class Counsel shall not represent any Class Members with respect to any such objections to this Settlement. If the Court rejects the Class Member's objection, the Class Member will still be bound by the terms of this Agreement.

RELEASE OF CLAIMS

18. Upon final approval by the Court of this Stipulation of Settlement, and except as to such rights or claims as may be created by this Stipulation of Settlement,

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each Class Member who has not submitted a timely and valid Request for Exclusion and without the need to manually sign a release document, in exchange for the consideration recited in this Agreement, on behalf of himself or herself and on behalf of his/her current, former, and future heirs, executors, administrators, attorneys, agents, and assigns, shall and does hereby fully and finally release and discharge Defendant and the Released Parties from any and all claims of any kind whatsoever, whether known or unknown, contingent or actual, whether based on common law, regulations, statute, or a constitutional provision, under state, federal or local law, arising out of the allegations made in the Action, including but not limited to claims for or related to alleged unpaid wages, unpaid overtime, damages, penalties, interest, attorneys' fees and costs, and all other claims and allegations made in the Action and that arise out of the facts or could have arisen out of the facts alleged in the Action, from January 17, 2017, up through and including the date of the Court's Final Order and Judgment approving this Settlement. Such claims include, but are not necessarily limited to, any and all applicable state and federal law wage-and-hour claims (including, but not necessarily limited to contractual or common law claims for wages, the Fair Labor Standards Act, 29 U.S.C. § 201, et seq., Nevada's Constitution, Nevada Revised Statutes §§ 608.016, 608.018, 608.020, 608.030, 608.040, 608.115, 608.140, 608.190, and 608.260, and Nevada Administrative Code § 608.115), rights, demands, liabilities and causes of action, whether known or unknown, contingent or actual, arising during the released period (commencing on January 17, 2017, and ending on the date of the Court's Final Order and Judgment) and specifically arising out of the allegations described in the complaint in the Action.

DUTIES OF THE PARTIES PRIOR TO COURT APPROVAL

19. Within fourteen (14) calendar days from execution of this Stipulation of Settlement, Class Counsel shall file a Joint Motion for Preliminary Approval of Class Action Settlement, submit this Stipulation of Settlement, and request a determination by the Court as to the Settlement's fairness, adequacy, and reasonableness. In so doing,

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Class Counsel shall apply to the Court for the entry of the Preliminary Approval Order substantially in the following form and as attached hereto as Exhibit D:

- Scheduling a fairness hearing on the question of whether the a. proposed Settlement, including payment of attorneys' fees and costs, costs of administration, and the Enhancement Award should be finally approved as fair, reasonable, and adequate as to the members of the Settlement Class;
- Certifying the Settlement Class, Plaintiff as Class Representative, and Mark Thierman, Esq., Joshua Buck, Esq., and Leah Jones, Esq., of Thierman Buck LLP and Christian Gabroy, Esq., and Kaine Messer, Esq., of Gabroy Law Offices as Class Counsel;
- C. Approving as to form and content (1) the proposed Notice attached hereto as Exhibit A, (2) the Claim Form attached hereto as Exhibit B, and (3) the Request for Exclusion form attached hereto as Exhibit C;
- d. Approving the manner and method for Class Members to request exclusion from the Settlement as contained herein and within the Notice;
- Directing the mailing of the Notice by first class mail to the Class e. Members:
- Preliminarily approving the Settlement subject only to the objections of Class Members and final review by the Court; and
- g. Enjoining Plaintiff and all Class Members from filing or prosecuting any other cases, claims, suits or administrative proceedings (including filing claims with the Nevada Office of the Labor Commissioner) regarding claims released by the Settlement unless and until such Class Members have filed valid Requests for Exclusion with the Claims Administrator and the time for filing claims with the Claims Administrator has elapsed.
- 20. To effectuate the Settlement, the Parties agree all formal and informal discovery shall be stayed pending Court approval of the Settlement. The Parties also

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agree that all Court deadlines be continued pending preliminary approval of the Settlement.

DUTIES OF THE PARTIES FOLLOWING FINAL COURT APPROVAL

- 21. Following final approval by the Court of the Settlement provided for in this Stipulation of Settlement, Class Counsel will submit a proposed Court's Final Order and Judgment in substantially the form attached hereto as Exhibit E:
- a. Approving the Settlement, adjudging the terms thereof to be fair, reasonable and adequate, and directing consummation of its terms and provisions:
- Approving Class Counsel's application for an award of attorneys' b. fees and costs not to exceed the maximum amount set forth herein;
- C. Approving the Enhancement Award to the Class Representative not to exceed the maximum amount set forth herein:
- d. Entering judgment of the Action on the merits, and permanently barring and enjoining all members of the Settlement Class from prosecuting against the Released Parties any individual or class or collective claims released herein pursuant to Paragraph 18 above, upon satisfaction of all payments and obligations hereunder.

PARTIES' AUTHORITY

22. The signatories hereto hereby represent that they are fully authorized to enter into this Stipulation of Settlement and bind the Parties hereto to the terms and conditions thereof.

MUTUAL FULL COOPERATION

23. The Parties agree to fully cooperate with each other to accomplish the terms of this Stipulation of Settlement, including but not limited to, execution of such documents and taking such other action as reasonably may be necessary to implement the terms of this Stipulation of Settlement. The Parties to this Stipulation of Settlement shall use their reasonable best efforts, including all efforts contemplated by this Stipulation of Settlement and any other efforts that may become necessary by order of the Court, or otherwise, to effectuate this Stipulation of Settlement and the terms set

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forth herein. As soon as practicable after execution of this Stipulation of Settlement. Class Counsel shall, with the assistance and cooperation of Defendant and their counsel, take all necessary steps to secure the Court's final approval of this Stipulation of Settlement.

NO PRIOR ASSIGNMENTS

24. The Parties and their respective counsel represent, covenant and warrant that they have not directly or indirectly, assigned, transferred, encumbered or purported to assign, transfer or encumber to any person or entity any portion of any liability. claim, demand, action, cause of action or right herein released and discharged.

NO ADMISSION

25. Nothing contained herein, nor the consummation of this Stipulation of Settlement, is to be construed or deemed an admission of liability, culpability, negligence, or wrongdoing on the part of Defendant, the Released Parties, or Plaintiff. Each of the Parties hereto has entered into this Stipulation of Settlement solely with the intention to avoid further disputes and litigation with the attendant inconvenience and expenses.

ENFORCEMENT ACTIONS

In the event one or more of the Parties to this Stipulation of Settlement 26.~ institutes any legal action or other proceeding against any other party or Parties to enforce the provisions of this Stipulation of Settlement or to declare rights or obligations under this Stipulation of Settlement, the successful party or Parties shall be entitled to recover from the unsuccessful party or Parties' reasonable attorneys' fees and costs, including expert witness fees incurred in connection with any enforcement actions.

NOTICES

27. Unless otherwise specifically provided herein, all notices, demands or other communications given hereunder shall be in writing and shall be deemed to have been duly given as of the first business day after mailing by overnight courier with confirmed delivery, addressed as follows:

To Plaintiff and the Settlement Class:

Christian Gabroy Kaine Messer **GABROY LAW OFFICES** 170 S. Green Valley Parkway, Suite 280 Henderson, NV 89012 Email: assistant@gabroy.com

To Defendant:

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Peter M. Wendzel JACKSON LEWIS P.C. 390 N. Orange Avenue **Suite 1285** Orlando, FL 32801 Email: Peter.Wendzel@jacksonlewis.com

CONSTRUCTION

28. The Parties agree that the terms and conditions of this Stipulation of Settlement are the result of lengthy, intensive arms-length negotiations between the Parties, and this Stipulation of Settlement shall not be construed in favor of or against any Party by reason of the extent to which any Party or his, her or its counsel participated in the drafting of this Stipulation of Settlement.

CAPTIONS AND INTERPRETATIONS

29. Paragraph titles or captions contained herein are inserted as a matter of convenience and for reference, and in no way define, limit, extend or describe the scope of this Stipulation of Settlement or any provision of it. Each term of this Stipulation of Settlement is contractual and not merely a recital.

MODIFICATION

30. This Stipulation of Settlement may not be changed, altered, or modified, except in writing and signed by the Parties hereto and approved by the Court. This Stipulation of Settlement may not be discharged except by performance in accordance with its terms or by a writing signed by the Parties.

INTEGRATION CLAUSE

31. This Stipulation of Settlement contains the entire agreement between the Parties relating to the settlement and transaction contemplated hereby, and all prior or

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contemporaneous agreements, understandings, representations and statements, whether oral or written and whether by a Party or such Party's legal counsel, are merged herein. No rights hereunder may be waived except in writing.

BINDING ON ASSIGNS

32. This Stipulation of Settlement shall be binding upon and inure to the benefit of the Parties and their respective heirs, trustees, executors, administrators, successors, and assigns; provided, however, that a Party's rights and obligations hereunder may not be assigned or delegated without the express prior written consent of the other Parties.

CLASS MEMBER SIGNATORIES

33. It is agreed that because the Class Members are so numerous, it is impossible or impractical to have each Class Member execute this Stipulation of Settlement. The Notice will advise all Class Members of the binding nature of the release, and the release shall have the same force and effect as if this Stipulation of Settlement were executed by each Class Member.

COUNTERPARTS

34. This Stipulation of Settlement may be executed in counterparts and by facsimile and digital signatures, and when each party has signed and delivered at least one such counterpart, each counterpart, including email and PDF versions, shall be deemed an original and, when taken together with other signed counterparts, shall constitute one Stipulation of Settlement binding upon and effective as to all Parties.

NO ADVERSE OR RETALIATORY ACTION

35. Defendant will not take any adverse or retaliatory action against the Class Representative.

IN WITNESS WHEREOF, the Parties hereto knowingly and voluntarily executed this Stipulation of Settlement as of the date(s) set forth below:

| 1 | Plaintiff/Class Representative | |
|----------|------------------------------------|---|
| 2 | DATED: 02/16/2021 | PLAINTIFF JOHN VALENCIA |
| 3 | | By: John Valencia |
| 4 | Class Counsel | John Valencia |
| 5 | DATED:2/16/21 | THIERMAN BUCK, LLP |
| 6 | | Bv: 15 |
| 7 | | Mark R. Thierman Joshua D. Buck Leah L. Jones |
| 8 | DATED: 3-16-21 | GABROY LAW OFFICES |
| 10 | | By: Christian Gabroy |
| 11 | | Kaine Messer |
| 12 | | Attorneys for Plaintiff and Class Counsel |
| 13 | Defendant P & M | |
| 14 15 | DATED: 02/17/2021 | P & M HOLDINGS, LLC D/B/A AND A/K/A GOLDEN STEER STEAKHOUSE |
| 6 | | By: Letting |
| 7 | | its: Managing Partner |
| 8 | Counsel for Defendant P & M (Appro | oved as to form) |
| 9 | DATED: 02/24/2021 | JACKSON LEWIS P.C. |
| .0 | | Ву: |
| 1 | | Peter M. Wondzel Daniel I. Aquino |
| 2 | | Attorneys for Defendant P & M Holdings, LLC d/b/a and a/k/a Golden Steer Steakhouse |
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EXHIBITA

DISTRICT COURT CLARK COUNTY, NEVADA

| JOHN VALENCIA, on behalf of |) | |
|----------------------------------|---|------------------------|
| himself and all others similarly |) | |
| situated, |) | |
| |) | |
| v. |) | NOTICE OF CLASS ACTION |
| |) | SETTLEMENT |
| P & M HOLDINGS, LLC d/b/a |) | |
| and a/k/a GOLDEN STEER |) | |
| STEAKHOUSE |) | |
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TO: all hourly paid non-exempt persons employed by Defendant in the state of Nevada who earned less than 1 ½ times the applicable minimum wage and who worked over eight (8) hours in a workday and were not paid their total owed wages for the time period commencing on January 17, 2017 through [the date the Court grants preliminary approval of this Settlement].

THIS NOTICE AFFECTS YOUR RIGHTS. PLEASE READ IT CAREFULLY.

- 1. YOU ARE HEREBY NOTIFIED that a proposed settlement ("Settlement") of the above-captioned class action Lawsuit ("Lawsuit") pending in the Eighth Judicial District Court, Clark County, Nevada (the "Court"), has been reached by the Parties and granted preliminary approval by the Court supervising the Lawsuit.
- 2. The purpose of this Notice is to describe the Lawsuit, to inform you of the terms of the proposed Settlement, and to inform you of your rights and options in connection with the proposed Settlement. The proposed Settlement will resolve all claims in this Lawsuit. A Final Approval Hearing will be held on _______ to determine whether the Settlement should be granted final approval.
- 3. Because your rights may be affected, it is extremely important that you read this Notice carefully. To participate in the Settlement, you must complete and return a Claim Form by ______. Unless you choose to exclude yourself ("opt out") of the Settlement, you will be bound by the Settlement if it is approved by the Court and by any order entered by the Court subject to the conditions in the parties' Agreement for Settlement of Class Action and Release.

SUMMARY OF THE LAWSUIT

- 4. On January 7, 2020, Plaintiff John Valencia, on behalf of himself and all others similarly situated, sent an NRS 608.140 pre-litigation demand with confidential draft complaint in anticipation of filing a legal Action against Defendant P & M HOLDINGS, LLC d/b/a and a/k/a Golden Steer Steakhouse in the Eighth Judicial District Court, Clark County, Nevada. In the draft complaint, Plaintiff alleged that Defendant failed to pay overtime in violation of NRS 608.018 and failed to pay all wages due and owing in violation of NRS 608.020 through NRS 608.050 and NRS 608.140. Following the dispatching of such demand but prior to the filing of the complaint, the Parties entered into a tolling agreement on January 24, 2020 to discuss potential early resolution
- 5. After extensive exchange of relevant information and negotiations, the Parties reached a Settlement in good faith that is memorialized in the Joint Stipulation of Settlement and Release ("Settlement Agreement"). The terms of the Settlement are generally summarized in this Notice.

6. You have received this Notice because Defendant's records show you were or are employed by Defendant in a job that makes you a Class Member whose rights may be affected by this Settlement.

POSITIONS OF THE PARTIES

- 7. Defendant denies liability for all claims that were or could have been brought in the Action. Defendant has consistently denied that it has violated any wage and hour, overtime, or other law under any federal or state constitution, statute or regulation. Defendant contends that all its employees have been compensated in compliance with the law and the Nevada Revised Statutes. Defendant has asserted and continues to assert defenses to the claims in the Lawsuit and has expressly denied and continues to deny any wrongdoing or legal liability arising out of any of the facts or conduct alleged in the Lawsuit. Defendant's entry into this Settlement and the consummation of this Settlement is not an admission of any liability or wrongdoing by Defendant or any person. Defendant specifically denies any liability, wrongdoing, or culpability of any kind whatsoever for the claims alleged and released in the Action, and further denies that, for any purpose other than settling, the Lawsuit is appropriate for class and representative treatment.
- 8. Counsel for the Plaintiff's Counsel" or "Class Counsel") has extensively investigated and researched the facts and circumstances underlying the issues raised in the Lawsuit, and the law applicable thereto.
- 9. Plaintiff and Plaintiff's Counsel recognize the expense and length of continued proceedings necessary to continue the Lawsuit against Defendant through trial and through any possible appeals. Plaintiff's Counsel has also taken into account the uncertainty of the outcome of further litigation, including the risk that the class might not be finally certified under the court rules as well as the difficulties and delays generally inherent in such litigation.
- 10. Plaintiff and Plaintiff's Counsel are also aware of the burdens of proof necessary to establish liability for the claims, of Defendant's defenses thereto, and of the difficulties in establishing damages for the Class Members. Based on the foregoing, Plaintiff's Counsel believes the proposed Settlement is fair, adequate, reasonable, and in the best interests of the Class Members.
- 11. Although Defendant believes it has meritorious defenses to the Lawsuit, Defendant has concluded that the continued litigation of Plaintiff's claims and defense of this Lawsuit would be lengthy and expensive for all Parties. This Settlement is not an admission of any liability or wrongdoing by any Defendant, Released Parties, or person, which have agreed to settle this Action and settle this case solely to avoid the uncertainties and costs of litigation and so they can have peace.
- 12. The Court has made no ruling on the merits of the claims and has determined only that certification of the Class for settlement purposes is appropriate under Nevada law.

PRELIMINARY APPROVAL OF THE SETTLEMENT

| 13. | Class Counsel. On | , the Court appointed the following attorneys as Plaintiff's |
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| | | suit: Thierman Buck LLP, 7287 Lakeside Drive, Reno, Nevada |
| | | Green Valley Parkway, Henderson, Nevada 89012. |
| 14. | Class Definition. On | , for purposes of the proposed Settlement, the Eighth |
| | | la, preliminarily certified a Class consisting of all hourly paid non- |
| exempt | t persons employed by Defendant in t | the state of Nevada who earned less than 1 ½ times the applicable |
| minimu | um wage and who worked over eight | (8) hours in a workday and were not paid their total owed wages |
| during | the Class Period (the time period def | ined in paragraph 15 below). |

| 15. The Class Period is January 17, 2017 through | | | | | |
|---|--|--|--|--|--|
| 16. Claims Administrator. The Court has appointed, as Claims Administrator to notify the Class and coordinate the claims process. | | | | | |
| 17. If you are a member of the Class, you will be bound by the proposed Settlement described below if it is approved, unless you make a written Request for Exclusion (to "opt out") in the manner described below. | | | | | |
| SUMMARY OF SETTLEMENT TERMS | | | | | |
| The following is a summary of the proposed Settlement between the Plaintiff, the Class, and Defendant. The specific and complete terms are described in the Agreement for Settlement of Class Action and Release (the "Settlement Agreement"), a copy of which is available for your review as set forth at the end of this Notice. | | | | | |
| 18. Conditions of the Settlement. This Settlement is conditioned upon the Court entering an order at or following the Final Approval Hearing approving the Settlement, as agreed by Plaintiff and Defendant, as fair, reasonable, adequate, and in the best interests of the Class with such order not being subject to any appeal or modification as provided for in the Settlement. | | | | | |
| 19. Final Approval Hearing. A Final Approval Hearing will be held in the Eighth Judicial District Court, Clark County Nevada, on at Courtroom No, before the Hon, District Judge. At this hearing, the Court will determine whether the proposed Settlement is fair, adequate, and reasonable, whether it should be approved by the Court, and whether the Lawsuit should be dismissed on the merits with prejudice as a result of the Settlement. The hearing may be adjourned, continued, and/or rescheduled by the Court from time to time as the Court may direct without further notice. You do not need to attend that hearing to participate in the proposed Settlement. | | | | | |
| 20. Settlement Fund. The Settlement Agreement provides that Defendant will pay a total of \$35,000.00 to fully resolve all issues in the Lawsuit. After the Court finally approves the Settlement and after deduction of the court-approved deductions from the Fund, as referenced immediately below, monetary Awards will be distributed to each member of the Class who: (a) does not opt out; and (b) fully completes and timely mails a valid Claim Form. | | | | | |
| 21. Deductions from the Settlement Fund. The following deductions will be made from the Fund: | | | | | |
| a. Attorneys' Fees and Expense Award. As part of the Settlement approval process, Class Counsel (Plaintiff's Counsel) will seek approval of an award of attorneys' fees of \$11,666.66 for all current and future attorneys' fees and up to \$1,000.00 in costs and expenses incurred in the Lawsuit as the "Fees and Expense Award." Class Counsel will not be permitted to petition the Court for any additional payments for fees, costs, or interest. You will not be required to pay Plaintiff's Counsel separately for their representation of the Class in the Lawsuit. | | | | | |
| b. <i>Enhancement Award</i> . Class Counsel will also seek approval for the payment of an Enhancement Award to Class Representative John Valencia (named Plaintiff) in this Lawsuit, for a total of \$2,500.00, for his prosecution of this case on behalf of the Class. | | | | | |
| c. Net Settlement Amount to Be Distributed to Authorized Class Members. The sum expected to remain following the above-referenced deductions and the deduction for the costs of claims administration is \$16,333.34, also referenced as "Net Settlement Amount." The Net Settlement Amount will be distributed among those Class Members who do not opt out and timely mail a valid, fully-completed Claim Form, known as "Authorized Class Members." | | | | | |

| 22. Payments to Authorized Class Members: The Claims Administrator will calculate the Award for |
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| each Authorized Class Member by utilizing the following formula: taking, (A) the number of daily overtime |
| hours worked by each Class Member from January 17, 2017 through; divided by (B) the total number |
| of daily overtime hours worked by all Class Members from January 17, 2017 through; to obtain |
| (C) the percentage of the total daily overtime hours worked by each Class Member. That percentage will then |
| be applied to the Net Settlement Amount to determine each Authorized Class Member's Award. Any amounts |
| not distributed to Authorized Class Members will be reverted to Defendant. Within 30 days after funding of |
| the Settlement, the Claims Administrator will mail settlement checks to Authorized Class Members. |

23. Tax Matters.

- a. Under the terms of the Settlement, the Award to each Authorized Class Member will be paid as unpaid wages and penalties/interest, from which federal withholding taxes will be deducted and for which employer tax payments will be made, and in part as miscellaneous income, from which federal withholding taxes will not be deducted. IRS Forms W-2 and 1099 will issue for the Award as appropriate.
- b. Authorized Class Members should consult with their tax advisors concerning any tax consequences of the payments that they receive under the Settlement. Neither the Parties, the Claims Administrator, nor the attorneys for the Parties are providing tax advice.
- 24. Uncashed Checks. As approved by the Court, if any check mailed to an Authorized Class Member is not cashed within 90 days after issuance, the check will be cancelled, and the amount of that check will be reverted to Defendant.

RELEASE OF PARTIES AND CLAIMS

- 25. The Authorized Class Member Awards and the Enhancement Award will be paid specifically in exchange for the release of the Released Parties from the Released Claims and for the covenant not to sue concerning the Released Claims.
- 26. If the Settlement is approved and if the Order of Final Approval dismissing the Lawsuit is entered and the Judgment becomes final, those Class Members who have not validly requested exclusion from the Settlement will be bound by the terms of the proposed Settlement, including releases of parties and the claims set forth at paragraphs 27 and 28 below.
- 27. Released Parties. "Released Parties" collectively means Defendant and (i) its respective past, present, and future parents, subsidiaries, joint ventures, and affiliates; (ii) its past, present, and future shareholders, directors, officers, members, managers, agents, employees, attorneys, insurers, predecessors, successors, and assigns of any of the foregoing; and (iii) any individual or entity which could be jointly liable with any of the foregoing.

28. Releases of Claims.

- a. "Released Claims" means the claims to be released by Class Members who do not timely file a valid request for exclusion, which claims include any and all claims of any kind whatsoever, whether known or unknown, contingent or actual, whether based on common law, regulations, statute, or a constitutional provision, under state, federal or local law, arising out of the allegations made in the Action, including but not limited to claims for or related to alleged unpaid wages, unpaid overtime, damages, penalties, interest, attorneys' fees and costs, and all other claims and allegations made in the Action and that arise out of the facts or could have arisen out of the facts alleged in the Action, from January 17, 2017 through ______.
- b. There may exist facts and/or damages pertaining to any or all of the Released Claims in paragraph 28.a., above, of which Plaintiff and Class Members have no knowledge, reason to know, or suspicion at the time the parties, Class Counsel, and Defendant's Counsel sign this Agreement, and that a

Class Member may later discover facts different from or in addition to those he or she now knows or believes to be true. The Release at paragraph 28.a. shall apply to all such unknown and unanticipated damages and claims, as well as to those now known or disclosed, based on the facts alleged in Complaint, and, further, that the Release remains in full force and effect in all respects notwithstanding any such different or additional facts.

PROCEDURE FOR MONETARY RECOVERY

| 29. | Deadline to | Submit | Claim | Form. | If you | want | to par | ticipate | in the | Sett | lement | and | receive | mone | y |
|---------|-----------------|------------|------------|---------|---------|---------|---------|----------|--------|------|----------|------|---------|------|----|
| under | the Settlemen | t, you mu | st fully (| complet | e, sign | , and i | mail th | e Claim | Form | no l | later th | an _ | | , a | ıs |
| set for | th in detail be | low at pai | ragraph | 31. | | | | | | | | | | | |

- 30. Completing a Claim Form: A Claim Form is attached. You must complete and sign the Claim Form and mail it to the Claims Administrator at the above address. The completed, signed Claim Form must be postmarked on or before ______. Class Members are responsible to maintain a photocopy of the fully completed Claim Form and proof of mailing.
- 31. A Claim Form is valid only if it is fully completed, signed, and postmarked on or before the deadline specified in paragraphs 29 and 30.
- 32. If you are a Class Member and you do not choose to exclude yourself from the Settlement, you will be bound by all the provisions of the Settlement Agreement, including a full release of claims that will prevent you from separately suing the Released Parties for the Released Claims settled in this case.
- 33. ANY CLASS MEMBER WHO DOES NOT SUBMIT A TIMELY, VALID, AND FULLY-COMPLETED CLAIM FORM WILL NOT RECEIVE A SHARE OF THE SETTLEMENT FUND. IF YOU DO NOTHING THAT IS, IF YOU DO NOT MAIL A TIMELY CLAIM FORM, YOU WILL NOT BE ENTITLED TO A SHARE OF SETTLEMENT FUND. HOWEVER, YOU WILL BE BOUND BY THE TERMS OF THE SETTLEMENT, INCLUDING THE RELEASE REFERENCED AT PARAGRAPHS 27 AND 28 ABOVE, EVEN THOUGH YOU DID NOT RECEIVE ANY MONEY, UNLESS YOU EXCLUDE YOURSELF IN WRITING FROM THE SETTLEMENT AS PROVIDED IN PARAGRAPHS 34 AND 35 BELOW.

PROCEDURE FOR EXCLUSION FROM THE SETTLEMENT

| | Request for Exclusion. Any Class Member who does NOT wish to participate in the Settlement may de himself or herself (i.e., "opt-out") by sending a signed Request for Exclusion form to the Claims nistrator. The Request for Exclusion must be signed, dated, completed, and mailed by certified mail to |
|--------|--|
| 35. | Deadline for Request for Exclusion. The Request for Exclusion must be postmarked no later than If you submit Request for Exclusion postmarked after, it will be rejected, and you |
| will b | e bound by the Release and all other Settlement Terms. |

36. Consequences of Submitting Request for Exclusion. Any person who files a complete and timely Request for Exclusion shall, upon receipt by the Claims Administrator, no longer be a member of the Settlement Class, shall be barred from participating in any portion of the Settlement, and shall receive no benefits from the Settlement. Any such person, at their own expense, may pursue individually any claims he/she may have against Defendant. If you wish to exclude yourself and wish to pursue individual action, you should be aware there are time limits on your right to file any such individual action.

PROCEDURE FOR OBJECTIONS TO THE PROPOSED SETTLEMENT

| 37. Objections to the Settlement. Any Class Member who wishes to object to the Settlement must file |
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| with the Court and serve on counsel for both parties and the Claims Administrator a written statement |
| objecting to the Settlement. Such written statement must be filed with the Court and served on counsel for |
| the parties no later than No Class Member shall be entitled to be heard at the Final Approval |
| Hearing (whether individually or through separate counsel) or to object to the Settlement, and no written |
| objections or briefs submitted by any Class Member shall be received or considered by the Court at the Final |
| Approval Hearing, unless the Class Member gives timely written notice of the Class Member's intent to appear |
| at the Final Approval Hearing, and copies of any written objections or briefs, shall have been timely filed with |
| the Court and served on counsel for all Parties and the Claims Administrator. Any written objections and |
| briefs must be served on counsel for the Parties and the Claims Administrator at the following addresses: |
| To Plaintiff and the Settlement Class (Class Counsel): |
| · · |
| Christian Gabrov |

Christian Gabroy
Kaine Messer
GABROY LAW OFFICES
170 S. Green Valley Parkway, Suite 280
Henderson, Nevada 89012
Email: assistant@gabroy.com

To Defendant:
Daniel I. Aquino
JACKSON LEWIS P.C.
300 S. Fourth Street
Suite 900
Email: Daniel.Aquino@jacksonlewis.com

| To Claims Administrator: | the standard process | | v |
|--------------------------|----------------------|--|---|
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- 38. Objections Must Submitted Timely and In Writing. Any Class Member who does not timely file with the Court and serve on counsel his or her written objections in the manner provided above shall be deemed to have waived such objections and shall be foreclosed from making any objections (by appeal or otherwise) to the proposed Settlement.
- 39. Any Class Member who is satisfied with the proposed Settlement need not appear at the Final Approval Hearing.

CHANGE OF ADDRESS

40. If you move after receiving this Notice, if it was misaddressed, or if for any reason you want your payment or future correspondence concerning this Lawsuit and the Settlement to be sent to a different address, you should supply your current preferred address to the Claims Administrator at the address listed in paragraph 37 above.

EXAMINATION OF THE PAPERS AND ADDITIONAL INQUIRIES

The foregoing is only a summary of the Lawsuit and the proposed Settlement and does not purport

41.

| Settlement, you may refer to the pleadings, the Settle | of the matters involved in the Lawsuit and the proposed ment Agreement, and other papers filed in the Lawsuit, Eighth Judicial District Court, Regional Justice Center, regular business hours of each Court day. | | | | | |
|--|--|--|--|--|--|--|
| 42. All inquiries by Class Members regarding this Notice and/or the Settlement that involve requests for information on whether a claim has been received or accepted, requests for additional copies of the Claim Form, information on when Authorized Class Member Awards will be paid, or the amount of your individual claim should be directed to the Claims Administrator at Inquiries involving legal questions about this Notice and/or legal questions about the Settlement or your legal rights should be directed to Class Counsel referenced at paragraph 37 above. Email communications to Class Counsel are preferred. | | | | | | |
| PLEASE DO NOT CONTACT THE CLERK OF WITH INQUIRIES. | F THE COURT, THE JUDGE, OR DEFENDANT | | | | | |
| Dated: | | | | | | |
| | DISTRICT JUDGE, Eighth Judicial District Court | | | | | |
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EXHIBIT B

EIGHTH JUDICIAL DISTRICT OF NEVADA IN AND FOR THE COUNTY OF CLARK JOHN VALENCIA V. P & M HOLDINGS, LLC D/B/A AND A/K/A GOLDEN STEER STEAKHOUSE CASE NO.

OT ATRADODRA

| <u>CLA</u> | IM FORM |
|---|--|
| List ID: [ID] [Class Member Name] [Address 1] [Address 2] [City], [State] [ZIP] | [Barcode] If your name and address is different from what is printed to the left, please provide updated information: |
| To receive your share of the Settlement, you must sign DAYS AFTER CLAIMS ADMINISTRATOR'S INITI mailed or faxed to the Claims Administrator at the below | n and return this Claim Form postmarked no later than [30 AL MAILING OF THE NOTICE]. The Claim Form must be ow address. |
| Golden Steer Steakhouse C Simpluris [Address/Phone] | Claims Administrator |
| | NLY IF YOU WANT TO RECEIVE MONEY SETTLEMENT |
| | f hours worked between January 17, 2017 and at P & M ndant") in Nevada as a non-exempt employee. Defendant's records show ertime Hours. |
| Based on this information, the current estimated value of your | settlement benefit is \$ |
| the number of daily overtime hours you believe you work (such as pay stubs or written information) to support you | per of daily overtime hours you worked, you must state below rked during the class period. If you have any documentation our claim, you must submit it with this form. If there is still a spute cannot be resolved informally, the dispute will be settled hat accompanies this claim form. |
| I believe that the Defendant's estimate of the number of Daily Overtime Hours during the class period. (If you as | daily overtime hours is incorrect and that I worked |
| Claim Form. By signing below, I am making my claim for | ment and Release as described in the Notice enclosed with this r a share of the Settlement and I agree to the information the State of Nevada that the foregoing information supplied is |
| Signature | Date Signed |
| Printed Name | () - Daytime Phone Number |
| Social Security Number (for taxing reporting) | |

Questions? Call Claims Administrator toll-free at 1-

EXHIBIT C

| STEAKHOUSECASE NO. | |
|--|------------------|
| JOHN VALENCIA V. P & M HOLDINGS, LLC D/B/A AND A/A | K/A GOLDEN STEER |
| EIGHTH JUDICIAL DISTRICT OF NEVADA IN AND FOR THE | COUNTY OF CLARK |

REQUEST FOR EXCLUSION FORM

List ID: [ID]
[Class Member Name]
[Address 1] [Address 2]
[City], [State] [ZIP]

To exclude yourself from the Settlement and NOT receive your share of the Settlement, you must sign and return this Exclusion Form **postmarked** no later than [30 DAYS AFTER CLAIMS ADMINISTRATOR'S INITIAL MAILING OF THE NOTICE]. This form must be mailed or faxed to the Claims Administrator at the below address:

Golden Steer Steakhouse Claims Administrator Simpluris [Address/Phone]

BY SUBMITTING THIS FORM, I AM CONFIRMING THAT IT IS MY DECISION <u>NOT</u> TO PARTICIPATE IN THIS CLASS ACTION AND <u>NOT</u> TO BE INCLUDED AS A CLASS MEMBER IN THIS CLASS ACTION. I UNDERSTAND THAT I WILL RECEIVE <u>NO MONEY</u> FROM THIS SETTLEMENT.

I confirm that I was employed by P & M Holdings, LLC d/b/a and a/k/a Golden Steer Steakhouse sometime between January 17, 2017 and [Preliminary Approval Date] as a non-exempt hourly employee who earned less than 1 ½ times the applicable minimum wage. I confirm that I have received notice of the proposed settlement in this action. I have decided to be EXCLUDED from the Class, and I have decided NOT to participate in the proposed settlement.

| | | () | |
|-----------------|---|--------------|-----|
| Print Name | | Phone Number | |
| Mailing Address | City | State | ZIP |
| Signature | N. C. T. C. | Date | |

EXHIBIT D

GABROY LAW OFFICES

170 S. Green Valley Pkwy., Suite 280 Henderson, Nevada 89012 (702) 259-7777 FAX: (702) 259-7704

[PROPOSED] ORDER GRANTING PRELIMINARY APPROVAL OF

TO ALL PARTIES AND THEIR RESPECTIVE COUNSEL OF RECORD:

The Joint Motion For Preliminary Approval Of Class Action Settlement came before this Court, the Honorable _____ presiding, on _____, 20__. This Court, having considered the papers submitted in support of the application of the

This Court grants preliminary approval of the Settlement and the Settlement Class based upon the terms set forth in the Joint Stipulation of İ

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Settlement and Release between Plaintiffs and Defendant ("Stipulation of Settlement") filed herewith. The Settlement appears to be fair, adequate and reasonable to the Class.

- 2. The Settlement falls within the range of reasonableness and appears to be presumptively valid, subject only to any objections that may be raised at the final fairness hearing and final approval by this Court.
- 3. A final fairness hearing on the question of whether the proposed attorneys' fees to Class Counsel, and the Class Settlement, Representative Enhancement Award should be finally approved as fair. reasonable and adequate as to the members of the Class is scheduled in accordance with the Implementation Schedule set forth below.
- 4. This Court approves, as to form and content, the Notice of Pendency of Class Action and Proposed Settlement and Hearing Date for Final Approval of Settlement ("Notice of Pendency of Class Action"), in substantially the form attached to the Stipulation of Settlement as Exhibit A. This Court approves the procedure for Class Members to participate in, to opt out of, and to object to the Settlement as set forth in the Notice of Pendency of Class Action.
- 5. This Court directs the mailing of the Notice of Pendency of Class Action. the Claim Form, and the Request for Exclusion Form by first class mail to the Class Members in accordance with the Implementation Schedule set forth below. This Court finds the dates selected for the mailing and distribution of the Notice, as set forth in the Implementation Schedule. meet the requirements of due process and provide the best notice practicable under the circumstances and shall constitute due and sufficient notice to all persons entitled thereto.
- 6. It is ordered that the Settlement Class is preliminarily certified for settlement purposes only.

| 7. | This Court confirms Plaintiff John Valencia as Class Representative and |
|----|---|
| | Mark Thierman, Esq., Joshua Buck, Esq., and Leah Jones, Esq., of |
| | Thierman Buck LLP and Christian Gabroy, Esq., and Kaine Messer, Esq. |
| | of Gabroy Law Offices as Class Counsel. |

- 8. This Court confirms Simpluris as the Claims Administrator.
- 9. To facilitate administration of the Settlement pending final approval, this Court hereby enjoins Plaintiff and all Class Members from filing or prosecuting any claims, suits or administrative proceedings (including filing claims with the Nevada Office of the Labor Commissioner) regarding claims released by the Settlement unless and until such Class Members have filed valid Requests for Exclusion with the Claims Administrator and the time for filing claims with the Claims Administrator has elapsed.
- 10. This Court orders the following Implementation Schedule for further proceedings:

(Rest of page intentionally left blank)

GABROY LAW OFFICES 170 S. Green Valley Pkwy., Suite 280 Henderson, Nevada 89012 (702) 259-7777 FAX: (702) 259-7704

| a. | Deadline for Defendant to Submit Class Member Information to Claims Administrator | , 2021 [10 calendar days after Order granting Preliminary Approval] |
|----|---|--|
| b. | Deadline for Claims Administrator to Mail the Notice, Claim Form, and Request for Exclusion Form to Class Members | , 2021 [21 calendar days after Order granting Preliminary Approval] |
| d. | Deadline for Class Members to Postmark Claim Forms or Requests for Exclusion Forms | , 2021 [30 calendar days after initial mailing of the Notice to Class Members] |
| e. | Deadline for Receipt by Court and Counsel of any Objections to Settlement | , 2021 [30 calendar days after initial mailing of the Notice to Class Members] |
| f. | Deadline for Class Counsel to file Motion for Final Approval of Settlement, Attorneys' Fees, Costs, and Enhancement Award | , 2021 [7 calendar days before Final Approval Hearing] |
| g. | Deadline for Class Counsel to File Declaration from Claims Administrator of Due Diligence and Proof of Mailing | , 2021 [7 calendar days before Final Approval Hearing] |
| h. | Final Fairness Hearing in Department and Final Approval | , 2021 |

GABROY LAW OFFICES
170 S. Green Valley Pkwy., Suite 280
Henderson, Nevada 89012
(702) 259-7777 FAX: (702) 259-7704

| i. | Deadline for Defendant to Fund Settlement Account maintained by Claims Administrator | , 2021 [5 calendar days after Effective Date] |
|----|---|---|
| j. | Deadline for Claims Administrator to wire transfer the Attorneys' Fees and Costs to Class Counsel (if Settlement is Effective) | , 2021 [5 calendar days after Defendant Funds Settlement Account] |
| k. | Deadline for Claims Administrator to mail the Settlement Awards to Class Members and the Enhancement Award to Class Representative (if Settlement is Effective) | , 2021 [30 days after Defendant Funds Settlement Account] |
| k. | Claims Administrator to File Proof of Payment of Settlement Awards, Enhancement Award, Attorneys' Fees and Costs (if Settlement is Effective) | , 2021 [90 calendar days after Effective Date] |

IT IS SO ORDERED.

| Dateu. | | |
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Judge of the District Court

| 1 | | Respectfully submitted by: | | Approved as to form and content: |
|----|-----|--|-----|--|
| 2 | | GABROY LAW OFFICES | | |
| 3 | | CABROT LAW OFFICES | | JACKSON LEWIS, P.C. |
| 4 | Ву: | | Ву: | |
| 5 | | Christian Gabroy Kaine Messer | • | Daniel I. Aquino 300 S. Fourth Street |
| 6 | | 170 South Green Valley Parkway Suite 280 | | Suite 900 Las Vegas, NV 89101 |
| 7 | | Tel: (702) 259-7777 Fax: (702) 259-7704 | | Tel (70ž) 921-2460 |
| 8 | | Henderson, Nevada 89012 | | Attorneys for Defendant |
| 9 | | THIERMAN BUCK LLP Joshua D. Buck | | |
| 10 | | Leah L. Jones 7287 Lakeside Drive | | |
| 11 | | Reno, Nevada 89511 Tel: (775) 284-1500 | | |
| 12 | | Fax: (775) 703-5027 | | |
| 13 | | Attorneys for Plaintiff | | |
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EXHIBITE

1.

GABROY LAW OFFICES

The Court adopts the defined terms in the Settlement.

- 2. This Court has jurisdiction over the subject matter of this litigation and personal jurisdiction over the named-plaintiff, all settlement class members, and defendants.
- 3. The Court confirms as final the following settlement class pursuant to Nev. R. Civ. P. 23: "All hourly paid non-exempt persons employed by Defendant in the state of Nevada who earned less than 1 ½ times the applicable minimum wage and who worked over eight (8) hours in a workday and were not paid their total owed wages for the time period commencing on January 17, 2017, up to and including [the date the Court granted preliminary approval of the Settlement]."
- 4. The Court confirms the appointment of John Valencia as the Class Representative and the enhancement payment of \$2,500.00 to John Valencia, as set forth in the Settlement.
- 5. The Court confirms the appointment of Mark Thierman, Esq., Joshua Buck, Esq., and Leah Jones, Esq., of Thierman Buck LLP and Christian Gabroy, Esq., and Kaine Messer, Esq., of Gabroy Law Offices as class counsel for the settlement class and approves their requests for attorneys' fees and litigation costs of \$11,666.67 and ______, respectively.
- 6. The class notice was distributed to class members, pursuant to this Court's orders, and fully satisfied the requirements of Nev. R. Civ. P. 23 and any other applicable law.
- 7. Pursuant to Nev. R. Civ. P. 23, the Court grants final approval to this settlement and finds that the settlement is fair, reasonable, and adequate in all respects, including the attorneys' fees, costs, and incentive award provisions. The Court specifically finds that the settlement confers a substantial benefit to settlement class members, considering the strength of plaintiff's claims and the risk, expense, complexity, and duration of further litigation. The response of the class supports settlement approval. _____ class members objected to the settlement and only _____ requested exclusion from the settlement. The Court further finds that the settlement is

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the result of arms-length negotiations between experienced counsel representing the interests of both sides, which supports approval of the settlement in accordance with the standards set forth in the joint motion for final approval of settlement.

- 8. The Court finds that as of the date of this Order each and every class member has waived and released claims as set forth in the Settlement Agreement and Notice of Class Action Settlement.
- 9. The Court finds that the settlement administrator Simpluris is entitled to \$3,500 for administrative fees.
- 10. The Court directs the parties to effectuate the settlement terms as set forth in the Settlement Agreement and the settlement administrator to calculate and pay the claims of the class members in accordance with the terms set forth in the Settlement Agreement.
 - 11. The Complaint is dismissed with prejudice.
- 12. The Court retains jurisdiction to enforce the terms of the settlement, including the payment of the settlement fund.

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| 18 | er etc. | |
| 19 | | Judge of the District Court |
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| 21 | Respectfully submitted by: | |
| 22 | | Christian Gabroy Kaine Messer |
| 23 | | Class Counsel/Counsel for Plaintiff |
| 24 | Approved as to form: | |
| 25 | | Daniel Aquino Counsel for Defendant |
| | | |

IT IS SO ORDERED, this _____ day of _____, 2021.

EXHIBITII

Case No.: A-21-830175-C Dept. No.: 25

DECLARATION OF CHRISTIAN GABROY IN SUPPORT OF JOINT MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT

DECLARATION OF CHRISTIAN GABROY IN SUPPORT OF JOINT MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT

- I, Christian Gabroy, do hereby declare and state as follows:
- The following declaration is based upon my own personal observation and knowledge, and if called upon to testify to the things contained herein. I could
 - I am an attorney and founder of Gabroy Law Offices and am admitted to 2.

practice law in the states of Illinois and Nevada and the United States District Court District of Nevada, the Ninth Circuit Court of Appeals, and the United States Supreme Court.

- 3. I am the attorney of record for Plaintiff John Valencia along with my partner Kaine Messer, Esq. and my co-counsel at Thierman Buck LLP.
- 4. Attached as Exhibit 1 to the Joint Motion for Preliminary Approval of Class Action Settlement is a true and correct copy of the Parties' Joint Stipulation of Settlement and Release (the "Settlement").
- 5. I have extensive litigation practice in the wage-and-hour practice since opening my firm here in Nevada in 2008. I have been certified as class counsel in, inter alia, our federal court in Gaspar, et al. v. Supershuttle Las Vegas, LLC, Case No. 2:15-cv-02149-APG-VCF as well as in Nevada state court in Zeleke v. Ike Gaming, Inc. d/b/a and a/k/a El Cortez Hotel and Casino, Case No. A-18-769220-C, Baltimore v. LTF Club Management Company, LLC, Case No. A-18-782512-C, DiMuzio, et al. v. Blazin Wings, Inc. d/b/a and a/k/a Buffalo Wild Wings, Case No. A-18-771424-C, Greene-Lewis v. Hussong's Las Vegas, LLC, Case No. A-18-771094-C, Aaron v. Wendy's of Las Vegas, Inc. et al., Case No. A-18-774902-C, Boschini v. White House Black Market, Inc., et al., Case No. A-19-803613-C, Barnett v. WBF McDonald's Management LLC, Case No. A-18-777786-C, and Russum v. Lucky Lucy D LLC, et al., Case No. A-19-795009-C.

Case-Specific Information

6. In my opinion, the settlement achieved in this case represents a good result on behalf of the Class. Notwithstanding each Parties' various legal arguments as to the appropriateness of class-wide relief in this action, and the liability and amount owed to class members, the basis for recovery is based upon the total amount of unpaid overtime premium hours worked during the class period. Furthermore, the proposed settlement would release only participating class members' wage and hour claims, not all potential employment claims, in exchange for the financial benefits they receive.

7. The Parties have reviewed relevant compensation data and employment and have arrived at a reasonable resolution through a protracted arm's-length negotiation process. The negotiations continued into all details of the settlement agreement and ancillary documents.

- 8. As outlined in the Joint Motion for Preliminary Approval, in addition to requesting that the class be conditionally certified, the Parties are also requesting that this Court approve the proposed class notice and claim form, which are attached to the Settlement as Exhibits A and B, respectfully.
- 9. The Settlement provides for a gross settlement amount of \$35,000. Subject to the Court's approval, Plaintiff's Counsel estimates that the gross settlement amount will break down as follows: \$16,333.33 in estimated settlement funds to the Class; \$3,500.00 in settlement administration costs; \$2,500.00 in enhancements to the named-Plaintiff for his participation in the lawsuit; \$11,666.67 in attorney's fees; and up to \$1,000.00 in actual costs.
- 10. The Settlement represents a significant recovery on behalf of the Class given the risks associated with this case. Plaintiff alleged various causes of action against Defendant for unpaid wages on behalf of himself and all similarly situated individuals under the Nevada Revised Statutes. Specifically, Plaintiff asserted the following claims: (1) Failure to Pay Overtime in Violation of NRS 608.140 and 608.018; and (2) Failure to Timely Pay All Wages Due and Owing in Violation of NRS 608.140 and 608.020-050. Plaintiff's legal claims stem from his allegation that he was not paid daily overtime when he and others similarly situated worked over 24 hours in a workday, as defined by NRS 608.0126.
- 11. The parties engaged in settlement discussions, exchanged information, and eventually reached tentative resolution pending this Court's approval. Specifically, Defendant provided Plaintiff with punch and pay data for a sampling of employees. That sampling was extrapolated out to the entire class. The total amount of estimated overtime was approximately just under \$10,000, and the maximum potential penalties were \$90,960. Through the negotiation process the Parties engaged in extensive

discussions regarding their respective positions and exchange of the information and representative data needed to properly evaluate the merits of the claims alleged.

- 12. The total settlement amount of \$35,000.00 represents approximately a 350% recovery of the potential recoverable hard damages.
- 13. The enhancement payment of \$2,500.00 to the named Plaintiff is fair and reasonable. Plaintiff provided significant assistance to counsel through is this process and took the risk of bringing this action on behalf of others who were similarly affected by the overtime policy.
- 14. Given that the settlement provides for 350% recovery of the overtime wages potentially owed, and the benefit of a quick and certain payout to Plaintiff and all members of the class who decide to make a claim, it is the opinion of Plaintiff's Counsel—myself, Mark R. Thierman, Joshua Buck, and Kaine Messer (all of whom are experienced wage and hour class action attorneys), that the proposed settlement is fair and reasonable and represents a better alternative than continued litigation under all the circumstances.
- 15. The settlement also provides for all the necessary notice and disclosures to the Class Members so that they can make an informed decision about whether to participate, opt-out, or object to the Settlement. The named-Plaintiff's enhancement and attorneys' fees and cost award are prominently displayed on the Notice. The claim form is simple and straightforward.
- 16. In sum, it is my opinion that the Settlement is fair, reasonable, and adequate; therefore, I respectfully request that the Settlement be preliminarily approved.

I declare under penalty of perjury under the laws of the United States of America and the State of Nevada that the foregoing is true and correct.

Executed on February 26, 2021, in Henderson, Nevada.

/s/ Christian Gabroy Christian Gabroy